



## Bi Unique Range Sets -A Further Study

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**ABSTRACT:** The purpose of the paper is to obtain a new bi-unique range sets, as introduced in [4] with smallest cardinalities ever for derivative of meromorphic functions. Our results will improve all the results in connection to the bi-unique range sets to a large extent. Some examples have been exhibited to justify our certain claims. At last an open question have been posed for future investigations.

**Key Words:** Meromorphic function, Uniqueness, Shared Set, Weighted sharing.

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### 1. Introduction, Definitions and Results

In this paper by meromorphic functions we will always mean meromorphic functions in the complex plane. It will be convenient to let  $E$  denote any set of positive real numbers of finite linear measure, not necessarily the same at each occurrence. For any non-constant meromorphic function  $h(z)$  we denote by  $S(r, h)$  any quantity satisfying

$$S(r, h) = o(T(r, h)) \quad (r \rightarrow \infty, r \notin E).$$

Let  $f$  and  $g$  be two non-constant meromorphic functions and let  $a$  be a finite complex number. We say that  $f$  and  $g$  share  $a$  CM, provided that  $f - a$  and  $g - a$  have the same zeros with the same multiplicities. Similarly, we say that  $f$  and  $g$  share  $a$  IM, provided that  $f - a$  and  $g - a$  have the same zeros ignoring multiplicities. In addition we say that  $f$  and  $g$  share  $\infty$  CM, if  $1/f$  and  $1/g$  share 0 CM and we say that  $f$  and  $g$  share  $\infty$  IM, if  $1/f$  and  $1/g$  share 0 IM.

Let  $S$  be a set of distinct elements of  $\mathbb{C} \cup \{\infty\}$  and  $E_f(S) = \bigcup_{a \in S} \{z : f(z) - a = 0\}$ , where each zero is counted according to its multiplicity. If we do not count the multiplicity the set  $\bigcup_{a \in S} \{z : f(z) - a = 0\}$  is denoted by  $\overline{E}_f(S)$ . If  $E_f(S) = E_g(S)$  we say that  $f$  and  $g$  share the set  $S$  CM. On the other hand if  $\overline{E}_f(S) = \overline{E}_g(S)$ ,

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we say that  $f$  and  $g$  share the set  $S$  IM. Evidently, if  $S$  contains only one element, then it coincides with the usual definition of CM (respectively, IM) shared values.

The uniqueness theory of meromorphic functions is a vast subject. Under the ambit of this theory several branches have been flourished. Among them set sharing problem exists as a distinguishable entity. We start the discussion with the question raised by Lin and Yi [17], in connection with the famous ‘‘Gross Question’’ {see [9]}.

**Question A.** *Can one find two finite sets  $S_j$  ( $j = 1, 2$ ) such that any two non-constant meromorphic functions  $f$  and  $g$  satisfying  $E_f(S_j) = E_g(S_j)$  for  $j = 1, 2$  must be identical ?*

To find the possible answer of the above question researchers have become more engaged to find explicitly a set  $S$  with minimum cardinalities such that any two meromorphic functions  $f$  and  $g$  having common poles sharing the set  $S$  become identical {cf. [1]-[3], [5]-[8], [11], [15]-[17], [22]-[23]}. The advent of the new notion of gradation of sharing of values and sets in [13, 14] further add essence to-wards the investigations. This notion is a scaling between CM and IM and measures how close a shared value is to being shared IM or to being shared CM. In the following we recall the definition.

**Definition 1.1.** [13, 14] *Let  $k$  be a nonnegative integer or infinity. For  $a \in \mathbb{C} \cup \{\infty\}$  we denote by  $E_k(a; f)$  the set of all  $a$ -points of  $f$ , where an  $a$ -point of multiplicity  $m$  is counted  $m$  times if  $m \leq k$  and  $k + 1$  times if  $m > k$ . If  $E_k(a; f) = E_k(a; g)$ , we say that  $f, g$  share the value  $a$  with weight  $k$ .*

We write  $f, g$  share  $(a, k)$  to mean that  $f, g$  share the value  $a$  with weight  $k$ . Clearly if  $f, g$  share  $(a, k)$  then  $f, g$  share  $(a, p)$  for any integer  $p$ ,  $0 \leq p < k$ . Also we note that  $f, g$  share a value  $a$  IM or CM if and only if  $f, g$  share  $(a, 0)$  or  $(a, \infty)$  respectively.

**Definition 1.2.** [13] *Let  $S$  be a set of distinct elements of  $\mathbb{C} \cup \{\infty\}$  and  $k$  be a nonnegative integer or  $\infty$ . We denote by  $E_f(S, k)$  the set  $\cup_{a \in S} E_k(a; f)$ .*

*Clearly  $E_f(S) = E_f(S, \infty)$  and  $\bar{E}_f(S) = E_f(S, 0)$ .*

Recently to study the possible answer of *Question A* the present first author [4] have introduced the notion of bi unique range sets for entire or meromorphic function with weight  $p, m$  as follows :

**Definition 1.3.** [4] *A pair of finite sets  $S_1$  and  $S_2$  in  $\mathbb{C}$  is called bi unique range sets for meromorphic (entire) functions with weights  $p, m$  if for any two non-constant meromorphic (entire) functions  $f$  and  $g$ ,  $E_f(S_1, p) = E_g(S_1, p)$ ,  $E_f(S_2, m) = E_g(S_2, m)$  implies  $f \equiv g$ . We write  $S_i$ ’s  $i = 1, 2$  as  $BURSM_{p, m}$  ( $BURSE_{p, m}$ ) in short. As usual if both  $p = m = \infty$ , we say  $S_i$ ’s  $i = 1, 2$  as  $BURSM$  ( $BURSE$ ).*

In [4] the present first author manipulated the above definition in order to get the possible answer of *Question A* for two finite sets in  $\mathbb{C}$ , which significantly improved the results obtained in [20] and [19]. Below we are recalling the result in [4]. The purpose of the paper is to investigate this fact.

**Theorem A.** [4] Let  $S_1 = \{0, 1\}$ ,  $S_2 = \left\{ z : \frac{(n-1)(n-2)}{2} z^n - n(n-2) z^{n-1} + \frac{n(n-1)}{2} z^{n-2} - c = 0 \right\}$ , where  $n(\geq 5)$  is an integer and  $c \neq 0, 1, \frac{1}{2}$  is a complex number such that  $c^2 - c + 1 \neq 0$ . Then  $S_i$ 's  $i = 1, 2$  are BURSM1, 3.

**Theorem B.** [4] Let  $S_i$ ,  $i = 1, 2$  be given as in Theorem A. Then  $S_i$ 's  $i = 1, 2$  are BURSM3, 2.

It is to be observed that in [4] we were unable to diminish the cardinalities of the range sets as mentioned in [19]. So it is natural to ask the following question. *Question 1: Can there exists any pair of range sets in the sense of Definition 1.3 whose cardinalities(s) are less than that given in Theorems A-B ?*

Possible answer of the above question is the motivation of the paper. We shall show that if we take the set sharing problem of derivatives of meromorphic functions, in stead of the original functions, a pair of range sets with cardinalities 2 and 3 different from those used in *Theorems A-B* provide the answer of *Question 1*. Till date this is the best result obtained in terms of bi-unique range sets.

Throughout the paper for an integer  $n$  and a nonzero constant  $a$  we shall denote  $-a \frac{n-1}{n} = c_1$  and  $\beta = -c_1^n - ac_1^{n-1}$ . Below we are giving our main theorem.

**Theorem 1.4.** Let  $S_1 = \{0, c_1\}$ ,  $S_2 = \{z : z^n + az^{n-1} + b = 0\}$ , where  $n(\geq 3)$  be an integer and  $a$  and  $b$  be two nonzero constants such that  $b \neq \beta, \frac{\beta}{2}$ . Then  $S_i$ 's  $i = 1, 2$  are bi-unique range sets with weights 1 and 3 for  $f^{(k)}$  and  $g^{(k)}$ .

The following example shows that in *Theorem 1.4*  $a \neq 0$  is necessary.

**Example 1.5.** Let  $f(z) = \sqrt[3]{-b} e^z$  and  $g(z) = (-1)^k \sqrt[3]{-b} e^{-z}$  and  $S_1 = \{0\}$ ,  $S_2 = \{z : z^3 + b = 0\}$ . Then  $f^{(k)}, g^{(k)}$  share  $(S_i, \infty)$ ,  $i = 1, 2$  but  $f^{(k)} \not\equiv g^{(k)}$ .

From the following example we see that if in our main result we discard  $-a \frac{n-1}{n}$  in  $S_1$  and replace  $f^{(k)}$  and  $g^{(k)}$  simply by  $f$  and  $g$  then the conclusion ceases to hold. In other words, the presence of the element  $-a \frac{n-1}{n}$  in  $S_1$  is essential in that case.

**Example 1.6.** Let  $S_1 = \{0\}$ ,  $S_2 = \{z : z^3 + az^2 + b = 0\}$  where  $a \neq 0$ ,  $b$  be so chosen that  $S_2$  has distinct elements. Let  $f$  and  $g$  be two non-constant meromorphic functions such that  $f(z) = -a \frac{e^z + e^{2z}}{1 + e^z + e^{2z}}$ ,  $g(z) = -a \frac{1 + e^z}{1 + e^z + e^{2z}}$ . Then they share  $(S_i, \infty)$ ,  $i = 1, 2$  but  $f \not\equiv g$ .

So natural question would be whether the cardinality of the set  $S_1$  in *Theorem 1.4* can further be diminished ?

It is seen from the next example that the sets  $S_i$ , ( $i = 1, 2$ ) in *Theorem 1.4* can not be replaced by two arbitrary sets.

**Example 1.7.** Let  $f(z) = e^z$  and  $g(z) = (-1)^k \alpha e^{-z}$  and for a constant  $\alpha \neq 0, \frac{1}{2}, 1$  we take  $S_1 = \{1, \alpha\}$ ,  $S_2 = \{0, \frac{1}{2}, 2\alpha\}$ . Then  $f^{(k)}, g^{(k)}$  share  $(S_i, \infty)$ ,  $i = 1, 2$  but  $f^{(k)} \not\equiv g^{(k)}$ .

Though for the standard definitions and notations of the value distribution theory we refer to [10], we now explain some notations which are used in the paper.

**Definition 1.8.** [12] For  $a \in \mathbb{C} \cup \{\infty\}$  we denote by  $N(r, a; f \mid = 1)$  the counting function of simple  $a$  points of  $f$ . For a positive integer  $m$  we denote by  $N(r, a; f \mid \leq m)$  ( $N(r, a; f \mid \geq m)$ ) the counting function of those  $a$  points of  $f$  whose multiplicities are not greater (less) than  $m$  where each  $a$  point is counted according to its multiplicity.

$\overline{N}(r, a; f \mid \leq m)$  ( $\overline{N}(r, a; f \mid \geq m)$ ) are defined similarly, where in counting the  $a$ -points of  $f$  we ignore the multiplicities.

Also  $N(r, a; f \mid < m)$ ,  $N(r, a; f \mid > m)$ ,  $\overline{N}(r, a; f \mid < m)$  and  $\overline{N}(r, a; f \mid > m)$  are defined analogously.

**Definition 1.9.** [14] We denote by  $N_2(r, a; f) = \overline{N}(r, a; f) + \overline{N}(r, a; f \mid \geq 2)$ .

**Definition 1.10.** [13, 14] Let  $f, g$  share a value  $a$  IM. We denote by  $\overline{N}_*(r, a; f, g)$  the reduced counting function of those  $a$ -points of  $f$  whose multiplicities differ from the multiplicities of the corresponding  $a$ -points of  $g$ . Clearly  $\overline{N}_*(r, a; f, g) \equiv \overline{N}_*(r, a; g, f)$  and in particular if  $f$  and  $g$  share  $(a, p)$  then  $\overline{N}_*(r, a; f, g) \leq \overline{N}(r, a; f \mid \geq p+1) = \overline{N}(r, a; g \mid \geq p+1)$ .

**Definition 1.11.** Let  $a, b_1, b_2, \dots, b_q \in \mathbb{C} \cup \{\infty\}$ . We denote by  $N(r, a; f \mid g \neq b_1, b_2, \dots, b_q)$  the counting function of those  $a$ -points of  $f$ , counted according to multiplicity, which are not the  $b_i$ -points of  $g$  for  $i = 1, 2, \dots, q$ .

## 2. Lemmas

In this section we present some lemmas which will be needed in the sequel. Let  $F$  and  $G$  be two non-constant meromorphic functions defined in  $\mathbb{C}$  as follows

$$F = \frac{P(f^{(k)})}{-b} = \frac{(f^{(k)})^{n-1} (f^{(k)} + a)}{-b}, \quad G = \frac{P(g^{(k)})}{-b} = \frac{(g^{(k)})^{n-1} (g^{(k)} + a)}{-b}, \quad (2.1)$$

where  $n(\geq 2)$  and  $k$  are two positive integers and for a meromorphic function  $h$  we put

$P(h) = (h)^n + a(h)^{n-1}$ . Henceforth we shall denote by  $H$  and  $\Phi$  the following two functions

$$H = \left( \frac{F''}{F'} - \frac{2F'}{F-1} \right) - \left( \frac{G''}{G'} - \frac{2G'}{G-1} \right) \quad (2.2)$$

and

$$\Phi = \frac{F'}{F-1} - \frac{G'}{G-1}. \quad (2.3)$$

**Lemma 2.1.** ([14], Lemma 1) Let  $F, G$  be two non-constant meromorphic functions sharing  $(1, 1)$  and  $H \not\equiv 0$ . Then

$$N(r, 1; F \mid = 1) = N(r, 1; G \mid = 1) \leq N(r, H) + S(r, F) + S(r, G).$$

**Lemma 2.2.** *Let  $S_1$  and  $S_2$  be defined as in Theorem 1.4 and  $F, G$  be given by (2.1). If for two non-constant meromorphic functions  $f$  and  $g$   $E_{f^{(k)}}(S_1, p) = E_{g^{(k)}}(S_1, p)$ ,  $E_{f^{(k)}}(S_2, 0) = E_{g^{(k)}}(S_2, 0)$ , where  $0 \leq p < \infty$  and  $H \neq 0$  then*

$$N(r, H) \leq \overline{N}(r, 0; f^{(k)} | \geq p+1) + \overline{N}\left(r, -a\frac{n-1}{n}; f^{(k)} | \geq p+1\right) + \overline{N}_*(r, 1; F, G) \\ + \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) + \overline{N}_0(r, 0; f^{(k+1)}) + \overline{N}_0(r, 0; g^{(k+1)}),$$

where  $\overline{N}_0(r, 0; f^{(k+1)})$  is the reduced counting function of those zeros of  $f^{(k+1)}$  which are not the zeros of  $f^{(k)} (f^{(k)} - a\frac{n-1}{n}) (F-1)$  and  $\overline{N}_0(r, 0; g^{(k+1)})$  is similarly defined.

**Proof:** We note that  $F' = \frac{(f^{(k)})^{n-2}(nf^{(k)}+a(n-1))f^{(k+1)}}{-b}$ ,  $G' = \frac{(g^{(k)})^{n-2}(ng^{(k)}+a(n-1))g^{(k+1)}}{-b}$  and

$$F'' = \frac{(f^{(k)})^{n-2}(nf^{(k)}+a(n-1))f^{(k+2)} + (f^{(k)})^{n-3}(n(n-1)f^{(k)}+a(n-1)(n-2))(f^{(k+1)})^2}{-b}, \\ G'' = \frac{(g^{(k)})^{n-2}(ng^{(k)}+a(n-1))g^{(k+2)} + (g^{(k)})^{n-3}(n(n-1)g^{(k)}+a(n-1)(n-2))(g^{(k+1)})^2}{-b}.$$

So

$$H = \frac{(n-1)(nf^{(k)}+a(n-2))f^{(k+1)}}{f^{(k)}(nf^{(k)}+a(n-1))} - \frac{(n-1)(ng^{(k)}+a(n-2))g^{(k+1)}}{g^{(k)}(ng^{(k+1)}+a(n-1))} \\ + \frac{f^{(k+2)}}{f^{(k+1)}} - \frac{g^{(k+2)}}{g^{(k+1)}} - \left( \frac{2F'}{F-1} - \frac{2G'}{G-1} \right).$$

Since  $E_{f^{(k)}}(S_2, 0) = E_{g^{(k)}}(S_2, 0)$  it follows that if  $z_0$  is a 0-point of  $f^{(k)}$  ( $g^{(k)}$ ) then either  $g^{(k)}(z_0) = 0$  ( $f^{(k)}(z_0) = 0$ ) or  $g^{(k)}(z_0) = -a\frac{n-1}{n}$  ( $f^{(k)}(z_0) = -a\frac{n-1}{n}$ ). Clearly  $F$  and  $G$  share  $(1, 0)$ . Since  $H$  has only simple poles, the lemma can easily be proved by simple calculation.  $\square$

**Lemma 2.3.** [6] *Let  $f$  and  $g$  be two meromorphic functions sharing  $(1, m)$ , where  $1 \leq m < \infty$ . Then*

$$\overline{N}(r, 1; f) + \overline{N}(r, 1; g) - N(r, 1; f | = 1) + \left(m - \frac{1}{2}\right) \overline{N}_*(r, 1; f, g) \leq \frac{1}{2} [N(r, 1; f) + N(r, 1; g)]$$

**Lemma 2.4.** [18] *Let  $f$  be a non-constant meromorphic function and let*

$$R(f) = \frac{\sum_{k=0}^n a_k f^k}{\sum_{j=0}^m b_j f^j}$$

be an irreducible rational function in  $f$  with constant coefficients  $\{a_k\}$  and  $\{b_j\}$  where  $a_n \neq 0$  and  $b_m \neq 0$ . Then

$$T(r, R(f)) = dT(r, f) + S(r, f),$$

where  $d = \max\{n, m\}$ .

**Lemma 2.5.** *Let  $S_1$  and  $S_2$  be defined as in Theorem 1.4 with  $n \geq 3$  and  $F, G$  be given by (2.1). If for two non-constant meromorphic functions  $f$  and  $g$   $E_{f^{(k)}}(S_1, p) = E_{g^{(k)}}(S_1, p)$ ,  $E_{f^{(k)}}(S_2, m) = E_{g^{(k)}}(S_2, m)$ ,  $0 \leq p < \infty$  and  $\Phi \not\equiv 0$  then*

$$\begin{aligned} & (2p+1) \left\{ \overline{N}(r, 0; f^{(k)} | \geq p+1) + \overline{N}(r, c_1; f^{(k)} | \geq p+1) \right\} \\ & \leq \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) + \overline{N}_*(r, 1; F, G) + S(r, f^{(k)}) + S(r, g^{(k)}). \end{aligned}$$

**Proof:** By the given condition clearly  $F$  and  $G$  share  $(1, m)$ . Also we see that

$$\Phi = \frac{(f^{(k)})^{n-2} (nf^{(k)} + a(n-1)) f^{(k+1)}}{-b(F-1)} - \frac{(g^{(k)})^{n-2} (ng^{(k)} + a(n-1)) g^{(k+1)}}{-b(G-1)}.$$

Let  $z_0$  be a zero or a  $c_1$ -point of  $f^{(k)}$  with multiplicity  $r$ . Since  $E_{f^{(k)}}(S_1, p) = E_{g^{(k)}}(S_1, p)$  then that would be a zero of  $\Phi$  of multiplicity  $\min \{(n-2)r + r - 1, r + r - 1\}$  i.e., of multiplicity  $\min \{(n-1)r - 1, 2r - 1\}$  if  $r \leq p$  and a zero of multiplicity at least  $\min \{(n-2)(p+1) + p, p+1+p\}$  i.e., a zero of multiplicity at least  $\min \{(n-1)p + (n-2), 2p+1\}$  if  $r > p$ . So using Lemma 2.4 by a simple calculation we can write

$$\begin{aligned} & \min \{(n-1)p + (n-2), (2p+1)\} \left\{ \overline{N}(r, 0; f^{(k)} | \geq p+1) + \overline{N}(r, c_1; f^{(k)} | \geq p+1) \right\} \\ & \leq N(r, 0; \Phi) \\ & \leq T(r, \Phi) \\ & \leq N(r, \infty; \Phi) + S(r, F) + S(r, G) \\ & \leq \overline{N}_*(r, 1; F, G) + \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) + S(r, f) + S(r, g). \end{aligned}$$

□

**Lemma 2.6.** *Let  $S_1, S_2$  be defined as in Theorem 1.4 and  $F, G$  be given by (2.1). If for two non-constant meromorphic functions  $f$  and  $g$   $E_{f^{(k)}}(S_1, p) = E_{g^{(k)}}(S_1, p)$ ,  $E_{f^{(k)}}(S_2, m) = E_{g^{(k)}}(S_2, m)$ , where  $0 \leq p < \infty$ ,  $2 \leq m < \infty$  and  $H \not\equiv 0$ , then*

$$\begin{aligned} & (n+1) \{T(r, f^{(k)}) + T(r, g^{(k)})\} \\ & \leq 2 \left\{ \overline{N}(r, 0; f^{(k)}) + \overline{N}(r, c_1; f^{(k)}) \right\} + \overline{N}(r, 0; f^{(k)} | \geq p+1) \\ & \quad + \overline{N}(r, c_1; f^{(k)} | \geq p+1) + 2 \{ \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) \} \\ & \quad + \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] - \left( m - \frac{3}{2} \right) \overline{N}_*(r, 1; F, G) \\ & \quad + S(r, f^{(k)}) + S(r, g^{(k)}). \end{aligned}$$

**Proof:** By the second fundamental theorem we get

$$\begin{aligned}
 & (n+1)\{T(r, f^{(k)}) + T(r, g^{(k)})\} \\
 \leq & \overline{N}(r, 1; F) + \overline{N}(r, 0; f^{(k)}) + \overline{N}\left(r, c_1; f^{(k)}\right) + \overline{N}(r, \infty; f) + \overline{N}(r, 1; G) \\
 & + \overline{N}(r, 0; g^{(k)}) + \overline{N}\left(r, c_1; g^{(k)}\right) + \overline{N}(r, \infty; g) - N_0(r, 0; f^{(k+1)}) \\
 & - N_0(r, 0; g^{(k+1)}) + S(r, f^{(k)}) + S(r, g^{(k)}).
 \end{aligned} \tag{2.4}$$

Using Lemmas 2.1, 2.2, 2.3 and 2.4 we note that

$$\begin{aligned}
 & \overline{N}(r, 1; F) + \overline{N}(r, 1; G) \\
 \leq & \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] + N(r, 1; F | = 1) - \left(m - \frac{1}{2}\right) \overline{N}_*(r, 1; F, G) \\
 \leq & \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] + \overline{N}(r, 0; f^{(k)} | \geq p+1) \\
 & + \overline{N}\left(r, -a \frac{n-1}{n}; f^{(k)} | \geq p+1\right) + \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) \\
 & - \left(m - \frac{3}{2}\right) \overline{N}_*(r, 1; F, G) + \overline{N}_0(r, 0; f^{(k+1)}) + \overline{N}_0(r, 0; g^{(k+1)}) \\
 & + S(r, f^{(k)}) + S(r, g^{(k)}).
 \end{aligned} \tag{2.5}$$

Using (2.5) in (2.4) and noting that

$$\overline{N}(r, 0; f^{(k)}) + \overline{N}\left(r, c_1; f^{(k)}\right) = \overline{N}(r, 0; g^{(k)}) + \overline{N}\left(r, c_1; g^{(k)}\right)$$

the lemma follows.  $\square$

**Lemma 2.7.** Let  $f^{(k)}, g^{(k)}$  be two non-constant meromorphic functions such that  $E_{f^{(k)}}(\{0, c_1\}, 0) = E_{g^{(k)}}(\{0, c_1\}, 0)$ . Then  $(f^{(k)})^{n-1}(f^{(k)} + a) \equiv (g^{(k)})^{n-1}(g^{(k)} + a)$  implies  $f^{(k)} \equiv g^{(k)}$ , where  $n (\geq 2)$  is an integer,  $k$  is a positive integer and  $a$  is a nonzero finite constant.

**Proof:** Let  $z_0$  be a zero of  $f^{(k)}(g^{(k)})$ . Then  $z_0$  must be either a 0-point or a  $c_1$ -point of  $g^{(k)}(f^{(k)})$ . But from the given condition if  $z_0$  is not a zero of  $g^{(k)}$ , then it must be a zero of  $g^{(k)} + a$ , which is impossible. So we conclude that here  $f^{(k)}$  and  $g^{(k)}$  share  $(0, \infty)$  and  $f, g$  share  $(\infty, \infty)$ . We also note that  $\Theta(\infty; f^{(k)}) + \Theta(\infty; g^{(k)}) \geq 2 - \frac{2}{k+1} = \frac{2k}{k+1} > 0$ . Now the lemma can be proved in the line of proof of Lemma 3 [16].  $\square$

**Lemma 2.8.** Let  $F, G$  be given by (2.1) and they share  $(1, m)$ . Also let  $\omega_1, \omega_2 \dots \omega_n$  are the members of the set  $S_2$  as defined in Theorem 1.4. Then

$$\overline{N}_*(r, 1; F, G) \leq \frac{1}{m} \left[ \overline{N}(r, 0; f^{(k)}) + \overline{N}(r, c_1; f^{(k)}) \right] + S(r, f^{(k)}).$$

**Proof:** First we note that since  $S_1$  has distinct elements,  $c_1$  can not be a member of  $S_2$ . So

$$\begin{aligned}
& \overline{N}_*(r, 1; F, G) \\
& \leq \overline{N}(r, 1; F \mid \geq m+1) \\
& \leq \frac{1}{m} (N(r, 1; F) - \overline{N}(r, 1; F)) \\
& \leq \frac{1}{m} \left[ \sum_{j=1}^n \left( N(r, \omega_j; f^{(k)}) - \overline{N}(r, \omega_j; f^{(k)}) \right) \right] \\
& \leq \frac{1}{m} \left[ N\left(r, 0; f^{(k+1)} \mid f^{(k)} \neq 0, c_1\right) \right] \\
& \leq \frac{1}{m} \left[ \overline{N}\left(r, \infty; \frac{f^{(k)}(f^{(k)} - c_1)}{f^{(k+1)}}\right) \right] \\
& \leq \frac{1}{m} \left[ N\left(r, \infty; \frac{f^{(k+1)}}{f^{(k)}(f^{(k)} - c_1)}\right) \right] + S(r, f^{(k)}) \\
& \leq \frac{1}{m} \left[ \overline{N}(r, 0; f^{(k)}) + \overline{N}(r, c_1; f^{(k)}) \right] + S(r, f^{(k)})
\end{aligned}$$

□

**Lemma 2.9.** [21] If  $H \equiv 0$ , then  $F, G$  share  $(1, \infty)$ .

**Lemma 2.10.** Let  $S_1, S_2$  be defined as in Theorem 1.4 with  $n \geq 3$  an integer. If for two non-constant meromorphic function  $f$  and  $g$ ,  $E_{f^{(k)}}(S_1, p) = E_{g^{(k)}}(S_1, p)$ ,  $E_{f^{(k)}}(S_2, m) = E_{g^{(k)}}(S_2, m)$ , where  $0 \leq p < \infty$ ,  $2 \leq m < \infty$  and  $\Phi \not\equiv 0$  then

$$\begin{aligned}
& \left\{ \overline{N}\left(r, 0; f^{(k)}\right) + \overline{N}\left(r, c_1; f^{(k)}\right) \right\} \\
& \leq \left( \frac{m}{m-1} \right) [\overline{N}(r, \infty; f) + \overline{N}(r, \infty; g)] + S(r, f^{(k)}) + S(r, g^{(k)}).
\end{aligned}$$

**Proof:** Using Lemma 2.5 for  $p = 0$  and Lemma 2.8 we get

$$\begin{aligned}
& \overline{N}\left(r, 0; f^{(k)}\right) + \overline{N}\left(r, c_1; f^{(k)}\right) \\
& \leq \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) + \overline{N}_*(r, 1; F, G) + S(r, f^{(k)}) + S(r, g^{(k)}) \\
& \leq \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) + \frac{1}{m} \left[ \overline{N}\left(r, 0; f^{(k)}\right) + \overline{N}\left(r, c_1; f^{(k)}\right) \right] \\
& \quad + S(r, f^{(k)}) + S(r, g^{(k)}).
\end{aligned}$$

From above the lemma follows. □



### 3. Proof of the theorem

**Proof:** [Proof of Theorem 1.4] Let  $F, G$  be given by (2.1). Then  $F$  and  $G$  share  $(1, 3)$ . We consider the following cases.

**Case 1.** Suppose that  $\Phi \neq 0$ .

**Subcase 1.1.** Let  $H \neq 0$ . Then using Lemma 2.6 for  $m = 3, p = 1$ , Lemma 2.5 for  $p = 0$  and  $p = 1$ , Lemma 2.8 for  $m = 3$ , Lemma 2.10 and Lemma 2.4 we obtain

$$\begin{aligned}
 & (n+1) \{T(r, f^{(k)}) + T(r, g^{(k)})\} \\
 \leq & 2 \left\{ \overline{N}(r, 0; f^{(k)}) + \overline{N}(r, c_1; f^{(k)}) \right\} + \overline{N}(r, 0; f^{(k)}) \geq 2 \\
 & + \overline{N}(r, c_1; f^{(k)}) \geq 2 + 2 \{ \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) \} \\
 & + \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] - \frac{3}{2} \overline{N}_*(r, 1; F, G) + S(r, f^{(k)}) + S(r, g^{(k)}) \\
 \leq & \left\{ 4 + \frac{1}{3} \right\} \{ \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) \} + \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] \\
 & + \left\{ \frac{1}{2} + \frac{1}{3} \right\} \overline{N}_*(r, 1; F, G) + S(r, f^{(k)}) + S(r, g^{(k)}) \\
 \leq & \frac{13}{3} \{ \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) \} + \frac{1}{2} [N(r, 1; F) + N(r, 1; G)] \\
 & + \frac{5}{12} \{ \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) \} + S(r, f^{(k)}) + S(r, g^{(k)}) \\
 \leq & \frac{57}{12} \{ \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) \} + \frac{n}{2} [T(r, f^{(k)}) + T(r, g^{(k)})] \\
 & + S(r, f^{(k)}) + S(r, g^{(k)}) \\
 \leq & \left\{ \frac{n}{2} + \frac{57}{24} \right\} [T(r, f^{(k)}) + T(r, g^{(k)})] + S(r, f^{(k)}) + S(r, g^{(k)}).
 \end{aligned} \tag{3.1}$$

(3.1) gives a contradiction for  $n \geq 3$ .

**Subcase 1.2** Let  $H \equiv 0$ . Then

$$\frac{1}{F-1} \equiv \frac{A}{G-1} + B, \tag{3.2}$$

where  $A \neq 0, B$  are constants. Also  $T(r, F) = T(r, G) + O(1)$ . i.e.,

$$nT(r, f^{(k)}) = nT(r, g^{(k)}) + O(1). \tag{3.3}$$

In view of Lemma 2.9 it follows that  $F$  and  $G$  share  $(1, \infty)$ . We now consider the following cases.

**Subcase 1.2.1.**

Let  $B = 0$ . From (3.2) we get

$$\frac{1}{F-1} \equiv \frac{A}{G-1}.$$

i.e.,

$$G' \equiv AF'.$$

i.e.,

$$\Phi \equiv 0,$$

a contradiction.

**Subcase 1.2.2.**

If  $B \neq 0$ , then

$$F - 1 \equiv \frac{G - 1}{BG + A - B}. \quad (3.4)$$

**Subcase 1.2.2.1.**

If  $A - B \neq 0$ , then from (3.4) we get

$$F - 1 \equiv \frac{G - 1}{B \left( G - \left( \frac{B-A}{B} \right) \right)}. \quad (3.5)$$

So

$$\overline{N}\left(r, \frac{B-A}{B}; G\right) = \overline{N}(r, \infty; F).$$

**Subcase 1.2.2.1.1.**

If  $g^{(k)} - c_1$  is a repeated factor of  $G - \frac{B-A}{B}$ , then

$$(g^{(k)} - c_1)^2 \prod_{i=1}^{n-2} (g^{(k)} - \alpha_i) \equiv \frac{1}{B} \frac{G - 1}{F - 1},$$

where  $g^{(k)} - \alpha_i$ 's ( $i = 1, 2, \dots, n-2$ ) are the distinct simple factors of  $G - \frac{B-A}{B}$ . Since  $f^{(k)}$ ,  $g^{(k)}$  share  $\{0, c_1\}$  and  $F$ ,  $G$  share  $(1, \infty)$  it follows that  $c_1$  points of  $g^{(k)}$  can not be a pole of  $f$  and so it must be an e.v.P. of  $g^{(k)}$ . Therefore  $\alpha_i$ 's are neutralised by the poles of  $f$ . Now if  $z_0$  is a zero of  $g^{(k)} - c_1$  of order  $p$ , then it would be pole of  $f^{(k)}$  of order  $q$  such that  $p = nq \geq n(k+1)$ . So in view of the second fundamental theorem and (3.3) we get

$$(n-2)T(r, g^{(k)}) \leq \sum_{i=1}^{n-2} \overline{N}(r, \alpha_i; g^{(k)}) + \overline{N}(r, c_1; g^{(k)}) + \overline{N}(r, \infty; g) + S(r, g^{(k)})$$

i.e.,

$$(n-2)T(r, g^{(k)}) \leq \frac{(n-2)}{n(k+1)}T(r, g^{(k)}) + \frac{1}{k+1}T(r, g^{(k)}) + S(r, g^{(k)}),$$

which gives a contradiction for  $n \geq 3$ .

**Subcase 1.2.2.1.2.** If  $(g^{(k)} - c_1)$  is not a factor of  $G - \frac{B-A}{B}$ , then

$$\prod_{i=1}^n (g^{(k)} - \beta_i) \equiv \frac{1}{B} \frac{G - 1}{F - 1},$$

where  $g^{(k)} - \beta_i$ 's ( $i = 1, 2, \dots, n$ ) are the distinct simple factors of  $G - \frac{B-A}{B}$ . Clearly from above we get

$$\sum_{i=1}^n \overline{N}(r, \beta_i; g^{(k)}) = \overline{N}(r, \infty; f).$$

Again by the second fundamental theorem we get

$$\begin{aligned} (n-1)T(r, g^{(k)}) &\leq \sum_{i=1}^n \overline{N}(r, \beta_i; g^{(k)}) + \overline{N}(r, \infty; g) + S(r, g^{(k)}) \\ &\leq \overline{N}(r, \infty; f) + \overline{N}(r, \infty; g) + S(r, g^{(k)}), \end{aligned}$$

i.e., in view of (3.3)

$$\left(n - 1 - \frac{2}{k+1}\right) T(r, g^{(k)}) \leq S(r, g^{(k)}),$$

which is a contradiction for  $n \geq 3$ .

**Subcase 1.2.2.2.**

If  $A - B = 0$ , then from (3.4) we get

$$\frac{B}{-b} \left(g^{(k)}\right)^{n-1} (g^{(k)} + a) \equiv \frac{G-1}{F-1}.$$

Using the same argument as in *Subcase 1.2.2.1.1.* we get that 0 is an e.v.P. of  $g$  and

$$\overline{N}(r, -a; g^{(k)}) \leq \frac{1}{n(k+1)} T(r, f^{(k)}).$$

So by the second fundamental theorem and (3.3) we get

$$\begin{aligned} T(r, g^{(k)}) &\leq \overline{N}(r, -a; g^{(k)}) + \overline{N}(r, 0; g^{(k)}) + \overline{N}(r, \infty; g) + S(r, g^{(k)}) \\ &\leq \left\{ \frac{1}{n(k+1)} + \frac{1}{k+1} \right\} T(r, g^{(k)}) + S(r, g^{(k)}), \end{aligned}$$

a contradiction for  $n \geq 3$ .

**Case 2.** Suppose that  $\Phi \equiv 0$ . On integration we get

$$(F-1) \equiv A(G-1) \tag{3.6}$$

for some nonzero constant  $A$ . Here also in view of *Lemma 2.4*, (3.3) holds. Since by the given condition of the theorem  $E_f(S_1, 0) = E_g(S_1, 0)$ , we consider the following cases.

**Subcase 2.1.** Let us first assume  $f^{(k)}$  and  $g^{(k)}$  share  $(0, 0)$  and  $(c_1, 0)$ . If one of 0 or  $c_1$  is an e.v.P. of both  $f^{(k)}$  and  $g^{(k)}$ , then we get  $A = 1$  and we have  $F \equiv G$ , which in view of *Lemma 2.7* implies  $f^{(k)} \equiv g^{(k)}$ . If both 0 and  $c_1$  are e.v.P. of  $f^{(k)}$

as well as of  $g^{(k)}$  then noting that here  $F \equiv AG + (1 - A)$ , suppose  $A \neq 1$ . Using [Lemma 2.4](#), [\(3.3\)](#) and the second fundamental theorem we get

$$\begin{aligned}
& nT(r, f^{(k)}) \\
& \leq \overline{N}(r, 0; F) + \overline{N}(r, 1 - A; F) + \overline{N}(r, \infty; F) + S(r, F) \\
& \leq \overline{N}(r, 0; f^{(k)}) + \overline{N}(r, -a; f^{(k)}) + \overline{N}(r, 0; G) + \overline{N}(r, \infty; f) + S(r, f^{(k)}) \\
& \leq (1 + \frac{1}{k+1})T(r, f^{(k)}) + T(r, g^{(k)}) + S(r, f^{(k)}) \\
& \leq (2 + \frac{1}{k+1})T(r, f^{(k)}) + S(r, f^{(k)}),
\end{aligned}$$

which implies a contradiction since  $n \geq 3$ .

**Subcase 2.2.** Next suppose that  $f^{(k)}$  and  $g^{(k)}$  do not share  $(0, 0)$  and  $(c_1, 0)$ . We now consider the following subcases.

**Subcase 2.2.1.**

Suppose none of  $0, c_1$  is e.v.P. of  $f^{(k)}$  i.e., none of  $c_1, 0$  is e.v.P. of  $g^{(k)}$ . Also from [\(3.6\)](#) we get

$$P(f^{(k)}) + b(1 - A) \equiv AP(g^{(k)}).$$

Since at least one  $c_1$ -point of  $f^{(k)}$  corresponds to at least one 0-point of  $g^{(k)}$ , from above we have

$$b(1 - A) = \beta. \quad (3.7)$$

Again from [\(3.6\)](#) we get

$$\frac{P(f^{(k)})}{A} \equiv P(g^{(k)}) - \frac{b(1 - A)}{A}. \quad (3.8)$$

We claim that  $-\frac{b(1-A)}{A} \neq \beta$ . For if  $-\frac{b(1-A)}{A} = \beta$ , then in view of [\(3.7\)](#) we have  $A = -1$ , which again in view of [\(3.7\)](#) implies  $b = \frac{\beta}{2}$ , a contradiction. So  $P(g^{(k)}) - \frac{b(1-A)}{A}$  has  $n$  distinct factors. Let them be  $\gamma_i$ , ( $i = 1, 2, \dots, n$ ). Hence from [\(3.8\)](#) we have

$$\prod_{i=1}^n (g^{(k)} - \gamma_i) \equiv \frac{1}{A} (f^{(k)})^{n-1} (f^{(k)} + a). \quad (3.9)$$

Since none of  $\gamma_i$ , ( $i = 1, 2, \dots, n$ ) coincides with  $0$  or  $c_1$ , from [\(3.9\)](#) it follows that  $0$  is an e.v.P. of  $f^{(k)}$ , a contradiction to the initial assumption of this subcase.

**Subcase 2.2.2.**

Let one of  $0$  or  $c_1$  is an e.v.P. of  $f^{(k)}$ .

**Subcase 2.2.2.1.**

Suppose first  $0$  is an e.v.P. of  $f^{(k)}$ . If  $c_1$  is not an e.v.P. of  $g^{(k)}$ , then there would be at least one  $z_0$  such that  $g(z_0) = f(z_0) = c_1$  and then from [\(3.6\)](#) we get  $A = 1$ , which in view of [Lemma 2.6](#) yields  $f^{(k)} \equiv g^{(k)}$  and we are done. So  $c_1$  must be an e.v.P. of  $g^{(k)}$ . Now using the similar argument as used in *Subcase 2.2.1.*, from [\(3.9\)](#)

and the second fundamental theorem we get

$$\begin{aligned} nT(r, g^{(k)}) &\leq \sum_{i=1}^n \overline{N}(r, \gamma_i; g^{(k)}) + \overline{N}(r, c_1; g^{(k)}) + \overline{N}(r, \infty; g) + S(r, g^{(k)}) \\ &\leq \overline{N}(r, -a; f^{(k)}) + \frac{1}{k+1}T(r, g^{(k)}) + S(r, g^{(k)}), \end{aligned}$$

which in view of (3.3) again gives a contradiction for  $n \geq 3$ .

**Subcase 2.2.2.2.**

Suppose next  $c_1$  is an e.v.P. of  $f^{(k)}$ , i.e., 0 is an e.v.P. of  $g^{(k)}$ . Here noticing the fact that in (3.6)  $F$  and  $G$  are interchangeable, using the same argument as in Subcase 2.2.2 this subcase can be disposed off. So we omit the details.

**Subcase 2.2.3.**

Let 0,  $c_1$  are both e.v.P. of  $f^{(k)}$ , i.e.,  $c_1, 0$  are both e.v.P. of  $g^{(k)}$ , then again in view of (3.6) we consider the following subcases.

**Subcase 2.2.3.1.**

Suppose  $F + A - 1$  has  $n$  distinct zeros,  $\xi_i$ ,  $i = 1, 2, \dots, n$ . Then (3.6) takes the form

$$A(g^{(k)})^{n-1}(g^{(k)} + a) \equiv (f^{(k)} - \xi_1)(f^{(k)} - \xi_2) \dots (f^{(k)} - \xi_n).$$

Then from the second fundamental theorem we get

$$\begin{aligned} &(n+1)T(r, f^{(k)}) \\ &\leq \sum_{i=1}^n \overline{N}(r, \xi_i; f^{(k)}) + \overline{N}(r, 0; f^{(k)}) + \overline{N}(r, c_1; f^{(k)}) + S(r, f^{(k)}) \\ &\leq \overline{N}(r, -a; g^{(k)}) + \frac{1}{k+1}T(r, f^{(k)}) + S(r, f^{(k)}), \end{aligned}$$

which in view of (3.3) gives a contradiction for  $n \geq 3$ .

**Subcase 2.2.3.2.**

Suppose  $F + A - 1$  has  $n-2$  distinct zeros,  $\eta_i$ ,  $i = 1, 2, \dots, n-2$  and a double zero at  $c_1$ . Then (3.6) changes to the form

$$A(g^{(k)})^{n-1}(g^{(k)} + a) \equiv (f^{(k)} - c_1)^2 (f^{(k)} - \eta_1)(f^{(k)} - \eta_2) \dots (f^{(k)} - \eta_{n-2}).$$

So again from the second fundamental theorem we get

$$\begin{aligned} &(n-1)T(r, f^{(k)}) \\ &\leq \sum_{i=1}^{n-2} \overline{N}(r, \eta_i; f^{(k)}) + \overline{N}(r, 0; f^{(k)}) + \overline{N}(r, c_1; f^{(k)}) + \overline{N}(r, \infty; f) + S(r, f^{(k)}) \\ &\leq \overline{N}(r, -a; g^{(k)}) + \frac{1}{k+1}T(r, f^{(k)}) + S(r, f^{(k)}), \end{aligned}$$

which in view of (3.3) gives a contradiction for  $n \geq 3$ . □

#### 4. Concluding Remark and an Open Question

We see from the statement of *Example 1.7* that conclusion of *Theorem 1.4* does not hold for any arbitrary sets different from that used in *Theorem 1.4*. So natural question would be

i) Whether the sets  $S_i$  used in *Theorem 1.4* are the only bi-unique range sets for the derivatives of two meromorphic functions for the case  $n = 3$  ?

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