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# D-recurrence of Operators on Banach Spaces

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ABSTRACT: An operator T is called recurrent if for any non empty set U there exists  $n \in \mathbb{N}$  such that  $T^nU \cap U \neq \phi$ . In this paper, we generalize this concept by using recurrence of operators in the closed unit disk  $\mathbb{D}$  and we call it  $\mathbb{D}$ -recurrent operators. We extend some properties of recurrence to  $\mathbb{D}$ -recurrence ones. In particular, we show that an operator is  $\mathbb{D}$ -recurrent if and only if the set of all its recurrent vectors is dense. Also, we show that every operator T and its iterates  $T^n$   $(n \in \mathbb{N})$  shares the same  $\mathbb{D}$ -recurrent vectors. Unlike the case of recurrent operators, we show that the inverse of some  $\mathbb{D}$ -recurrent operators are not  $\mathbb{D}$ -recurrent, while others are  $\mathbb{D}$ -recurrent too. According to the strong connection between  $\mathbb{D}$ -recurrent operators and both diskcyclic and recurrent operators, we give an example to show that not every  $\mathbb{D}$ -recurrent operator is recurrent and diskcyclic. The later results rely on a nice characterization for an operator to be  $\mathbb{D}$ -recurrent which we call  $\mathbb{D}$ -recurrent criterion. Finally, we provide the relation between power boundedness and  $\mathbb{D}$ -recurrence. In particular, if T is power bounded then the set of all D-recurrent vectors is closed. Also,  $T^{-1}$  is power bounded and  $\mathbb{D}$ -recurrent whenever T is.

Key Words: recurrent operator,  $\mathbb{D}$ -recurrent operator, hypercyclic operator, diskcyclic operator, power bounded.

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### 1. Introduction

One of the main concepts in Linear Dynamics is that of hypercyclicity. An operator T in  $\mathcal{B}(\mathcal{X})$  is said to be hypercyclic if there exists a vector x in  $\mathcal{X}$  such that the orbit  $Orb(T,x)=\{T^nx:n\geq 0\}$  is dense in  $\mathcal{X}$ . Such a vector x is called a hypercyclic vector for T. The first example of a hypercyclic operator on a Banach space was provided in 1969 by Rolewicz [11] who showed that if T is the unilateral backward shift on the sequence space  $\ell^p(\mathbb{N})$  and if c is a scalar with |c|>1, then the operator cT is hypercyclic. In Banach spaces, being hypercyclic is equivalent to a property called topological transitive. An operator T is called topological transitive if for any pair G,H of non empty open subsets of  $\mathcal{X}$  there is a positive integer n such that  $T^nG\cap H\neq \phi$ .

The notion of supercyclicity was invented by Hilden and Wallen [10]. An operator T is called supercyclic if there is a vector x such that  $\mathbb{C}Orb(T,x)$   $\{\lambda T^n x : n \geq 0, \lambda \in \mathbb{C}\}$  is dense in  $\mathcal{X}$ . Such a vector x is called a supercyclic vector for T. Good sources to learn about hypercyclic and supercyclic operators are [3], [7] and [9].

Moreover, diskcyclicity concept was introduced by Zeana [12] which is the mid way between hypercyclicity and supercyclicity. An operator T is called diskcyclic if there is a vector  $x \in \mathcal{X}$  such that the disk orbit  $\mathbb{D}Orb(T,x) = \{\alpha T^n x : \alpha \in \mathbb{C}, |\alpha| \leq 1, n \geq 0\}$  is dense in  $\mathcal{X}$ , such a vector x is called diskcyclic for T. For more information about diskcyclic operators, the reader may refer to [1], [2] and [12].

Systems with a dense orbit play a fundamental role in essentially all branches of the area of Dynamical Systems and are related to the recurrence. In the context of linear dynamics, Costakis et al. [6] were the first to study recurrent operators. An operator is called recurrent if for any non-empty open subset G of  $\mathcal{X}$  there exists  $n \in \mathbb{N}$  such that  $T^nG \cap G \neq \phi$ . It is clear from its definition that every topological transitive (hypercyclic) operator is recurrent. However, the converse is not true as shown in [6]. Some

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properties and examples on recurrent operators can be found in [4], [5] and [6].

The purpose of this paper is to define a new notion in linear dynamics which we call  $\mathbb{D}$ -recurrence and it is a weaker form of recurrence. First, we find the relation between  $\mathbb{D}$ -recurrence and its corresponding concept of diskcyclicity. Second, we extend some recurrence properties to  $\mathbb{D}$ -recurrence. The two most interesting properties are: an operator T is  $\mathbb{D}$ -recurrent if and only if the set of all its recurrent vectors is dense, and every operator T and its iterates  $T^n$   $(n \in \mathbb{N})$  shares the same  $\mathbb{D}$ -recurrent vectors.

It is proved in [6] that an operator is recurrent if and only if its inverse is recurrent. For this reason, it is natural to ask if the inverse of  $\mathbb{D}$ -recurrent operators is again  $\mathbb{D}$ -recurrent. We show that the answer is in negative. In particular, we provide a nice characterization for an operator to be  $\mathbb{D}$ -recurrent which we call  $\mathbb{D}$ - recurrent criterion. We use this criterion to show that the inverse of some  $\mathbb{D}$ -recurrent operators may fail to be  $\mathbb{D}$ -recurrent, while others may be  $\mathbb{D}$ -recurrent too. Also, we use  $\mathbb{D}$ - recurrent criterion to show that not every  $\mathbb{D}$ -recurrent operator is recurrent.

Finally, we provide the relation between power boundedness and  $\mathbb{D}$ -recurrence. In particular, if T is power bounded then the set of all D-recurrent vectors is closed. We proved that if T is a contraction operator and  $\mathbb{D}$ -recurrent then it is a surjective isometry. We use the latest result to prove that  $T^{-1}$  is power bounded and  $\mathbb{D}$ -recurrent whenever T is.

#### 2. Main Results

In all that follows, we use  $\mathcal{X}$  to denote a separable infinite dimensional Banach space over the complex field  $\mathbb{C}$  unless otherwise stated. In addition, we use  $\mathcal{B}(\mathcal{X})$  to denote the operator algebra consisting of all continuous linear operators  $T: \mathcal{X} \to \mathcal{X}$  and  $\mathbb{D}$  be the closed unit disk.

**Definition 2.1** Let  $T \in \mathcal{B}(\mathcal{X})$ . Then T is called  $\mathbb{D}$ -recurrent if for every open set G there exist  $n \in \mathbb{N}$  and  $\lambda \in \mathbb{D} \setminus \{0\}$  such that  $\lambda T^n(G) \cap G \neq \phi$  or equivalently there exist  $n \in \mathbb{N}$  and  $\alpha \in \mathbb{C}$ ,  $|\alpha| \geq 1$  such that  $\lambda T^{-n}(G) \cap G \neq \phi$ .

**Definition 2.2** Let  $T \in \mathcal{B}(\mathcal{X})$ , a vector  $x \in \mathcal{X}$  is called a  $\mathbb{D}$ -recurrent vector for T if there exist an increasing sequence of positive integers  $(n_k)_{k \in \mathbb{N}}$  and a sequence  $(\lambda_k)_{k \in \mathbb{N}} \in \mathbb{D} \setminus \{0\}$  such that  $\lambda_k T^{n_k} x \to x$  as  $k \to \infty$ . The set of all recurrent vectors for an operator is denoted by  $\mathbb{D}R(T)$  The following proposition is an immediate consequence of [2, Proposition 2.10.]

**Proposition 2.1** Every diskcyclic operator is  $\mathbb{D}$ -recurrent.

**Proof:** Let T be a diskcyclic operator on a Banach space  $\mathcal{X}$ , then T is disk transitive and so for every non-empty open sets G and H there exist  $n \in \mathbb{N}$  and  $0 \neq \lambda \in \mathbb{D}$  such that  $\lambda T^n(G) \cap H \neq \phi$  and so T is  $\mathbb{D}$ -recurrent.

The following example shows that the converse of the above proposition is not true in general.

**Example 2.1** Let  $T \in \mathcal{B}(\mathbb{C}^2)$  defined by Tx = cx where  $x = (x_1, x_2) \in \mathbb{C}^2$  and  $c \in \mathbb{C}$ ;  $|c| \geq 1$ . Then T is  $\mathbb{D}$ -recurrent but not diskcyclic.

**Proof:** Suppose that  $\lambda_k = \frac{1}{c^k}$  then  $\lambda_k \in \mathbb{D} \setminus \{0\}$  for each  $k \in \mathbb{N}$ . Let G be a non-empty open subset of  $\mathbb{C}^2$  and  $y \in G$  then there exists  $r \in \mathbb{N}$  such that  $\lambda_r T^r y = \lambda_r c^r y = \frac{1}{c^r} c^r y = y \in G$  which follows that  $\lambda_r T^r G \cap G \neq \phi$ . Hence T is  $\mathbb{D}$ -recurrent.

However, by [2, Proposition 3.9] T cannot be diskcyclic.

We extend some properties for recurrent operators to D-recurrent ones in the following theorem.

**Theorem 2.1** Let  $T \in \mathcal{B}(\mathcal{X})$ , then  $\mathbb{D}R(T)$  is dense in  $\mathcal{X}$  if and only if T is  $\mathbb{D}$ -recurrent.

**Proof:** Let  $\mathbb{D}R(T)$  be a dense set in  $\mathcal{X}$  and G be an open set in  $\mathcal{X}$ . Let y be a point of intersection of G with  $\mathbb{D}R(T)$  and  $\epsilon > 0$  then there exists an open ball  $B_{\epsilon}(y)$  such that  $y \in B_{\epsilon}(y) \subseteq G$ . Since y is a  $\mathbb{D}$ -recurrent vector for T, then there exist an increasing sequence of positive integers  $(n_k)_{n \in \mathbb{N}}$  and a sequence  $(\lambda_k)_{k \in \mathbb{N}} \in \mathbb{D}\setminus\{0\}$  such that  $\lambda_k T^{n_k} y \to y$  as  $k \to \infty$  i.e.  $\|\lambda_m T^m y - y\| \le \epsilon$  for some  $m \in \mathbb{N}$  which implies that  $\lambda_m T^m y \in G$  and  $\operatorname{so}\lambda_m T^m(G) \cap G \neq \phi$ . It follows that T is  $\mathbb{D}$ -recurrent.

Conversely: Let T be a  $\mathbb{D}$ -recurrent operator, we will prove that  $\mathbb{D}R(T)$  is dense by showing that it intersects every open ball in  $\mathcal{X}$ . Suppose that  $B_1 = B_{\epsilon}(x)$  be an open ball with center  $x \in \mathcal{X}$  and radius  $\epsilon < 1$ . Since T is  $\mathbb{D}$ -recurrent, then there exist  $x_1 \in \mathcal{X}$ ,  $r_1 \in \mathbb{N}$  and  $\alpha_1 \in \mathbb{C}$ ,  $|\alpha_1| \geq 1$  such that  $x_1 \in \alpha_1 T^{-r_1}(B_1) \cap B_1 \neq \phi$  and so  $(1/\alpha_1)T^{r_1}x_1 \in B_1 \cap (1/\alpha_1)T^{r_1}(B_1)$ , but T is continuous then there exists an open ball  $B_2 = B_{\epsilon_1}(x_1)$  with center  $x_1$  and radius  $\epsilon_1 < 1/2$  such that  $(1/\alpha_1)T^{r_1}(B_2) \subseteq B_1 \cap (1/\alpha_1)T^{r_1}(B_1)$  which follows that  $B_2 \subseteq \alpha_1 T^{-r_1}(B_1) \cap B_1$ . Therefore,

$$B_2 \subseteq B_1 \text{ and } (1/\alpha_1)T^{r_1}(B_2) \subseteq B_1$$

Since T is  $\mathbb{D}$ -recurrent, then there exist  $x_2 \in \mathcal{X}$ ,  $r_2 \in \mathbb{N}$ ;  $r_2 > r_1$  and  $\alpha_2 \in \mathbb{C}$ ,  $|\alpha_2| \geq 1$  such that  $x_2 \in \alpha_2 T^{-r_2}(B_2) \cap B_2 \neq \phi$  and so  $(1/\alpha_2)T^{r_2}x_2 \in B_2 \cap (1/\alpha_2)T^{r_2}(B_2)$ . Again since T is continuous then there exists an open ball  $B_3 = B_{\epsilon_2}(x_2)$  with center  $x_2$  and radius  $\epsilon_2 < 1/2^2$  such that  $(1/\alpha_2)T^{r_2}(B_3) \subseteq B_2 \cap (1/\alpha_2)T^{r_2}(B_2)$  which follows that  $B_3 \subseteq \alpha_2 T^{-r_2}(B_2) \cap B_2$ . Therefore,

$$B_3 \subseteq B_2$$
 and  $(1/\alpha_2)T^{r_2}(B_3) \subseteq B_2$ 

By continuing this process, we get an open ball  $B_{n+1} = B_{\epsilon_n}(x_n)$  with center  $x_n$  and radius  $\epsilon_n < 1/2^n$  such that  $(1/\alpha_n)T^{r_n}(B_{n+1}) \subseteq B_n \cap (1/\alpha_n)T^{r_n}(B_n)$  for some  $x_n \in \mathcal{X}$ ,  $r_n \in \mathbb{N}$ ;  $r_n > r_{n-1}$  and  $\alpha_n \in \mathbb{C}$ ,  $|\alpha_n| \ge 1$ . It follows that  $B_{n+1} \subseteq \alpha_n T^{-r_n}(B_n) \cap B_n$ . Now, for all  $n \ge 1$ 

$$B_{n+1} \subseteq B_n \text{ and } (1/\alpha_n)T^{r_n}(B_{n+1}) \subseteq B_n$$
 (2.1)

Moreover,  $\bigcap_n B_n \neq \phi$  and by cantor intersection theorem there exists  $y \in \mathcal{X}$  such that

$$\bigcap_{n} B_{n} \subseteq \bigcap_{n} cl(B_{n}) = \{y\}$$
(2.2)

From Equation (2.2), we get  $y \in B_n$  for all n and hence  $||x_n - y|| \le \epsilon_n$ . Also, be Equation (2.1),  $(1/\alpha_n)T^{r_n}y \in B_n$ ; i.e.  $||x_n - (1/\alpha_n)T^{r_n}y|| \le \epsilon_n$ . It follows that  $(1/\alpha_n)T^{r_n}y \to y$  and hence y is a  $\mathbb{D}$ -recurrent vector for T such that  $y \in \mathbb{D}R(T) \cap B_n$  which shows that  $\mathbb{D}R(T)$  is dense.

**Proposition 2.2** If  $x \in \mathbb{D}R(T)$ , then  $T^k x \in \mathbb{D}R(T)$  for all  $k \in \mathbb{N}$ .

**Proof:** Let  $x \in \mathbb{D}R(T)$ , then there exist an increasing sequence of positive integers  $n_k$  and a sequence  $\lambda_k \in \mathbb{D}\setminus\{0\}$  such that  $\lambda_k T^{n_k}x \to x$ . By continuity of T, we get  $\lambda_k T^{n_k}(T^kx) \to T^kx$  and thus  $T^kx \in \mathbb{D}R(T)$ .

**Proposition 2.3** The set of all recurrent vectors for an operator T is a  $G_{\delta}$  set.

**Proof:** From the proof of the second part of Theorem (2.1), since  $y \in \mathbb{D}R(T)$  if and only if  $y \in \alpha_n T^{-r_n} B_n$ ; i.e  $y \in \bigcap_n \left(\bigcup_{\substack{r_n \in \mathbb{N} \\ 0 \neq \alpha_n \in \mathbb{D}}} T^{-r_n}(\alpha_n B_n)\right)$ . It follows that

$$\mathbb{D}R(T) = \bigcap_{n} \left( \bigcup_{\substack{r_n \in \mathbb{N} \\ 0 \neq \alpha_n \in \mathbb{D}}} T^{-r_n}(\alpha_n B_n) \right)$$

which implies that  $\mathbb{D}R(T)$  is a  $G_{\delta}$  set.

It is shown in [6] that every operator T and its iterates  $T^n (n \ge 1)$  shares the same recurrent-vectors. An analogous result is presented below.

**Proposition 2.4** Let  $T \in \mathbb{D}R(\mathcal{X})$ , then  $\mathbb{D}R(T) = \mathbb{D}R(T^i)$  for all  $i \in \mathbb{N}$ .

**Proof:** First we will show that  $\mathbb{D}R(T^i) \subseteq \mathbb{D}R(T)$ . Let  $x \in \mathbb{D}R(T^i)$  then there exist an increasing sequence  $(n_k) \in \mathbb{N}$  and a sequence  $(\lambda_k) \in \mathbb{D}\setminus\{0\}$  such that  $\lambda_k(T^i)^{n_k}x \to x$  which means that  $\lambda_kT^{in_k}x \to x$  and so  $x \in \mathbb{D}R(T)$ .

Now to show  $\mathbb{D}R(T) \subseteq \mathbb{D}R(T^i)$ , suppose that  $x \in \mathbb{D}R(T)$ , then there exist an increasing sequence  $(n_k) \in \mathbb{N}$  and a sequence  $(\lambda_k) \in \mathbb{D}\setminus\{0\}$  such that  $\lambda_k T^{n_k} x \to x$ . By division algorithm theorem for  $\mathbb{Z}$ , there exist an increasing sequence  $(q_k) \in \mathbb{Z}$  and  $(r_k) \in \mathbb{Z}$  such that  $0 \le r_k < i$  and  $n_k = iq_k + r_k$  for all  $k \in \mathbb{N}$  and so  $\lambda_k T^{iq_k+r_k} x \to x$ . By boundedness of  $(r_k)$ , there is  $r \in (r_k)$  such that  $\lambda_k T^{iq_k+r_k} x \to x$ . Now, let G be an open set containing x then there exist a positive integer  $j_1 = q_{k_1}$ ,  $\alpha_1 = \lambda_{k_1}$  for some  $k_1 \in \mathbb{N}$  such that  $\alpha_1 T^{ij_1+r} x \in G$ . Also we have

$$\lambda_k T^{i(q_k+j_1)+2r} x = \lambda_k T^{ij_1+r} \left(\alpha_1 T^{iq_k+r} x\right) \to \left(\alpha_1 T^{ij_1+r} x\right) \in G$$

then there exist a positive integer  $j_2 = q_{k_2} + j_1 > j_1$ ,  $\alpha_2 = \lambda_{k_2}$  for some  $k_2 \in \mathbb{N}$  such that  $\alpha_2 T^{ij_2 + 2r} x \in G$ . By continuing this process, we get a positive integer  $j_i = q_{k_i} + j_{i-1}$ ,  $\alpha_i = \lambda_{k_i}$ ;  $k_i \in \mathbb{N}$  such that  $\alpha_i T^{ij_i + ir} x = \alpha_i (T^i)^{j_i + r} x \in G$  for an increasing sequence of positive integers  $(j_i + r)$  and a sequence  $(\alpha_i) \in \mathbb{D} \setminus \{0\}$ , that is  $x \in \mathbb{D}R(T^i)$ .

The following proposition shows the connection between the direct sum of finite operators and recurrence.

**Proposition 2.5** Let  $T_i \in \mathcal{B}(\mathcal{X}_i)$  for each  $1 \leq i \leq n$ , and let  $\bigoplus_{i=1}^n T_i$  be  $\mathbb{D}$ -recurrent in  $\bigoplus_{i=1}^n \mathcal{X}_i$  then  $T_i$  is  $\mathbb{D}$ -recurrent in  $\mathcal{X}_i$  for all  $1 \leq i \leq n$ .

**Proof:** Let  $G_i$  be an open set in  $\mathcal{X}_i$  for each  $1 \leq i \leq n$ . , then  $\bigoplus_{i=1}^n G_i$  is open in  $\bigoplus_{i=1}^n \mathcal{X}_i$ . Since  $\bigoplus_{i=1}^n T_i$  be  $\mathbb{D}$ -recurrent in  $\bigoplus_{i=1}^n \mathcal{X}_i$  then there exist an increasing sequence of positive integers  $(n_k)$  and  $\lambda_k \in \mathbb{D} \setminus \{0\}$  such that  $\lambda_k \bigoplus_{i=1}^n T_i^{n_k} \bigoplus_{i=1}^n G_i \cap \bigoplus_{i=1}^n G_i \neq \phi$  which follows that  $\lambda_k T_i^{n_k} G_i \cap G_i \neq \phi$  for each  $1 \leq i \leq n$  and thus  $T_i \in \mathbb{D}R(\mathcal{X}_i)$ .

The next theorem will be useful in the sequel, it gives some equivalent assertions for D-recurrence.

**Theorem 2.2** Let  $T \in \mathcal{B}(\mathcal{X})$ . Then the following statements are equivalent:

- 1.  $T \in \mathbb{D}R(\mathcal{X})$
- 2. For each  $x \in \mathcal{X}$ , there are sequences  $(x_k) \in \mathcal{X}$ ,  $(n_k) \in \mathbb{N}$  and  $\lambda_k \in \mathbb{D} \setminus \{0\}$  such that  $x_k \to x$  and  $\lambda_k T^{n_k} x_k \to x$ .
- 3. For each  $x \in \mathcal{X}$  and each neighborhood  $\mathcal{N}$  for zero in  $\mathcal{X}$ , there are  $z \in \mathcal{X}$ ,  $n \in \mathbb{N}$  and  $\lambda \in \mathbb{D} \setminus \{0\}$  such that  $x z \in \mathcal{N}$  and  $\lambda T^n z x \in \mathcal{N}$ .

**Proof:**  $1\Rightarrow 2$ : Let  $x\in\mathcal{X}$  and  $A_1=B_1(x)$  be an open ball with center x and radius 1. By (1), there are  $n_1\in\mathbb{N},\ \lambda_1\in\mathbb{D}\backslash\{0\}$  such that  $\lambda_1T^{n_1}A_1\cap A_1\neq \phi$ . Thus there is  $x_1\in A_1$  such that  $\lambda_1T^{n_1}x_1\in A_1$ . Now take  $A_2=B_{\frac{1}{2}}(x)$  be an open ball with center x and radius  $\frac{1}{2}$ . Then, there are  $n_2\in\mathbb{N},\ \lambda_2\in\mathbb{D}\backslash\{0\}$  such that  $\lambda_2T^{n_2}A_2\cap A_2\neq \phi$ ; therefore, there is  $x_2\in A_2$  such that  $\lambda_2T^{n_2}x_2\in A_1$ . By continuing this process we get sequences  $(n_k)\in\mathbb{N},\ \lambda_k\in\mathbb{D}\backslash\{0\}$  and  $(x_k)\in\mathcal{X}$  such that  $x_k\in A_k$  and  $x_kT^{n_k}x_k\in A_k$  for all  $k\geq 1$ . It follows that  $\|x_k-x\|\leq \frac{1}{k}$  and  $\|x_kT^{n_k}x_k-x\|\leq \frac{1}{k}$  for all  $k\geq 1$ . Now, the proof follows by letting  $k\to\infty$ .

 $2\Rightarrow 3$ : Let  $x\in\mathcal{X}$  and let  $\mathcal{N}$  be a neighborhood for zero in  $\mathcal{X}$ . By(2), there are sequences  $(x_k)\in\mathcal{X}$ ,  $(n_k)\in\mathbb{N}$  and  $(\lambda_k)\in\mathbb{D}\setminus\{0\}$  such that  $x_k\to x$  and  $\lambda_kT^{n_k}x_k\to x$ . Thus there is  $k\in\mathbb{N}$  such that  $x_k-x\in\mathcal{N}$  and  $\lambda_kT^{n_k}x_k-x\in\mathcal{N}$ . The result follows by letting  $z=x_k$ .

 $3 \Rightarrow 1$ : Let G be an open set and  $x \in G$ . Let  $\epsilon > 0$  and  $\mathcal{N} = B_{\epsilon}(0)$  be a neighborhood for zero in  $\mathcal{X}$ , then by (3) there are  $z \in \mathcal{X}$ ,  $n \in \mathbb{N}$  and  $\lambda \in \mathbb{D} \setminus \{0\}$  such that  $x - z \in \mathcal{N}$  and  $\lambda T^n z - x \in \mathcal{N}$ . It follows that  $z \in G$  and  $\lambda T^n z \in G$  which shows that T is  $\mathbb{D}$ -recurrent.

It is proved in [6] that an operator is recurrent if and only if its inverse is. In contrast to the recurrence, the following example shows that the inverse of a  $\mathbb{D}$ -recurrent operator may fail to be  $\mathbb{D}$ -recurrent.

**Example 2.2** Let  $T \in \mathcal{B}(\mathbb{C})$  such that T(x) = kx for some  $k \in \mathbb{C}$ ; |k| > 1. Then T is  $\mathbb{D}$ -recurrent but its inverse is not.

**Proof:** For each  $y \in \mathbb{C}$ ;  $y \neq 0$ , we can find a sequence of the form  $(y + \frac{1}{n}) \to y$  and a sequence  $\frac{1}{k^n} \in \mathbb{D}$  such that  $\frac{1}{k^n}T^n(y + \frac{1}{n}) = \frac{1}{k^n}k^n(y + \frac{1}{n}) \to y$  and so by theorem (2.2) part (2), T is  $\mathbb{D}$ -recurrent. However, For each  $y \in \mathbb{C}$ ;  $y \neq 0$  and each sequence  $(x_n) \to y$ , there exist no sequence  $\lambda_n \in \mathbb{D}\setminus\{0\}$  such that  $\lambda_n(T^{-1})^n x_n = \lambda_n \frac{1}{k^n} x_n$  which converges to y and so by theorem (2.2) part (2),  $T^{-1}$  is not  $\mathbb{D}$ -recurrent.

Observe that the previous example is in finite dimension. It would be more interesting if we can construct a  $\mathbb{D}$ -recurrent operator in infinite dimensions whose inverse is not  $\mathbb{D}$ -recurrent. For this reason, the next theorem gives a nice criterion for an operator to be  $\mathbb{D}$ -recurrent which will be used to find such an example. First, we need the following lemma.

**Lemma 2.1** Let  $T \in \mathcal{B}(\mathcal{X})$ . Let  $(n_k)$  be an increasing of positive integers,  $(\lambda_k) \in \mathbb{D} \setminus \{0\}$  and A be a dense subset of  $\mathcal{X}$  such that for every  $x \in A$ ,

- 1. there exists a sequence  $(x_k) \in \mathcal{X}$  such that  $\|\lambda_k^{-1} x_k\| \to 0$  and  $T^{n_k} x_k \to x$  as  $k \to \infty$ ,
- 2.  $\|\lambda_k T^{n_k} x\| \to 0$  as  $k \to \infty$ .

Then T is  $\mathbb{D}$ -recurrent.

**Proof:** Let G be an open subset of  $\mathcal{X}$ , then there exists  $x \in A \cap G$ . By hypothesis, there exist a small positive number  $\epsilon$  and a large positive integer r, such that

$$\|\lambda_r T^{n_r} x\| \le \frac{\epsilon}{2} \quad \|\lambda_r^{-1} x_r\| \le \epsilon \text{ and } \|T^{n_r} x_r - x\| < \frac{\epsilon}{2}$$

Let  $y=x+\lambda_r^{-1}x_r$ , then  $\|y-x\|=\|\lambda_r^{-1}x_r\|\leq \epsilon$  which follows that  $y\in G$ . Now since  $\lambda_r T^{n_r}y=\lambda_r T^{n_r}x+T^{n_r}x_r$ , then  $\|\lambda_r T^{n_r}y-x\|=\|\lambda_r T^{n_r}x+T^{n_r}x_r-x\|\leq \|\lambda_r T^{n_r}x\|+\|T^{n_r}x_r-x\|<\epsilon$ . Therefore  $\lambda_r T^{n_r}y\in G$ ; i.e,  $\lambda_r T^{n_r}G\cap G\neq \phi$  and hence T is  $\mathbb D$ -recurrent.

**Theorem 2.3 (D-recurrent Criterion)** Let  $T \in \mathcal{B}(\mathcal{X})$ . Suppose that  $(n_k)$  is an increasing sequence of positive integers and  $A \in \mathcal{X}$  is a dense subset of  $\mathcal{X}$  such that for each  $x \in D$ 

- 1. there is a sequence  $(x_k)$  in  $\mathcal{X}$  such that  $||x_k|| \to 0$  and  $T^{n_k}x_k \to x$  as  $k \to \infty$ ,
- 2.  $||T^{n_k}x|| \, ||x_k|| \to 0 \text{ as } k \to \infty$ ,

Then T is  $\mathbb{D}$ -recurrent.

**Proof:** Let  $\{\epsilon_k\}_{k\in\mathbb{N}}$  be a sequence of positive numbers decreasing to 0, and let  $\{y_n\}_{n\in\mathbb{N}}\subset D$  be a countable dense subset in  $\mathcal{X}$ . By hypothesis, there exists a sequence  $(x_k^{(j)})$  in  $\mathcal{X}$  such that

$$\left\|x_k^{(j)}\right\| < \epsilon_k \tag{2.3}$$

$$T^{n_k} x_k^{(j)} \to y_j \tag{2.4}$$

and

$$\left\|T^{n_k}y_i\right\|\left\|x_k^{(j)}\right\| < \epsilon_k^2 \tag{2.5}$$

where  $j=1,\cdots,k, i=1,\cdots,k$  . Suppose that for each  $k\geq 1$ ,

$$\lambda_{n_k} = \frac{1}{\epsilon_k} \max_{1 \le j \le k} \left\{ \left\| x_k^{(j)} \right\| \right\}$$

It follows that  $\lambda_{n_k} \in \mathbb{D} \setminus \{0\}$ , and by equation (2.3) we have

$$\frac{1}{\lambda_{n_k}} \left\| x_k^{(j)} \right\| \le \frac{1}{\lambda_{n_k}} \max_{1 \le j \le k} \left\{ \left\| x_k^{(j)} \right\| \right\} = \epsilon_k \text{ for all } j \le k$$
 (2.6)

By equation (2.5), we get

$$\lambda_{n_k} \| T^{n_k} y_i \| = \frac{1}{\epsilon_k} \max_{1 \le j \le k} \left\{ \left\| x_k^{(j)} \right\| \right\} \| T^{n_k} y_i \| < \epsilon_k \text{ for all } i \le k.$$
 (2.7)

As  $k \to \infty$ , now since A is dense then by equations (2.4), (2.6) and (2.7) all hypothesizes of proposition 2.1 hold and so T is  $\mathbb{D}$ -recurrent.

The following example shows that even in infinite dimensional Banach spaces there exists a  $\mathbb{D}$ -recurrent operator whose inverse is not. Also, it shows that a  $\mathbb{D}$ -recurrent operator may not be recurrent.

**Example 2.3** Let  $F: \ell^2(\mathbb{Z}) \to \ell^2(\mathbb{Z})$  be the bilateral forward weighted shift with the weight sequence

$$w_n = \begin{cases} c_1 & \text{if } n \ge 0, \\ c_1 & \text{if } n < 0. \end{cases}$$

where  $c_1, c_2 \in \mathbb{R}^+; 1 < c_1 < c_2$ . Then F is  $\mathbb{D}$ -recurrent but not recurrent and  $F^{-1}$  is not  $\mathbb{D}$ -recurrent.

**Proof:** We will verify D-recurrent criterion. Let

$$A = \{x \in \ell^2(\mathbb{Z}) : x \text{ has only finitely many non-zero coordinates} \},$$

and (n) be the sequence of all non-negative integers. Let  $\{e_n\}_{n\in\mathbb{Z}}$  be the canonical basis of  $\ell^2(\mathbb{Z})$ , and let  $x\in A$ , we have to find a sequence  $x_n\in\mathcal{X}$  such that  $||x_n||\to 0$ . Since  $(w_n)$  is bounded, then the bilateral backward weighted shift  $S=F^{-1}$  where  $Se_n=(1/w_{n-1})\,e_{n-1}$  and weight sequence

$$\frac{1}{w_{n-1}} = \begin{cases} \frac{1}{c_1} & \text{if } n > 0, \\ \frac{1}{c_2} & \text{if } n \le 0. \end{cases}$$

Now, take  $x_n = S^n x$ , without loss of generality we will suppose that  $x = e_0$  then by [8, Lemma 3.1.], if  $S^n e_0 \to 0$  as  $n \to \infty$  then  $S^n e_k \to 0$  for all  $k \in \mathbb{Z}$  and so by triangle inequality,  $S^n x \to 0$ . Since  $\lim_{n \to \infty} ||S^n e_0|| = \lim_{n \to \infty} \prod_{i=1}^n (1/c_2) = \lim_{n \to \infty} (1/c_2^n) = 0$  then

$$||x_n|| = ||S^n x|| \to 0 \tag{2.8}$$

Again by [8, Lemma 3.3.], if  $||F^n e_0|| ||S^n e_0|| \to 0$  as  $n \to \infty$  then  $||F^n e_k|| ||S^n e_k|| \to 0$  for all  $k \in \mathbb{Z}$  and so by triangle inequality,  $||F^n x|| ||S^n x|| = ||F^n x|| ||x_n|| \to 0$ . Since

$$\lim_{n \to \infty} \|F^n e_0\| \|S^n e_0\| = \lim_{n \to \infty} \left( \prod_{k=1}^n c_1 \right) \left( \prod_{k=1}^n \frac{1}{c_2} \right) = \lim_{n \to \infty} (c_1^n) \left( \frac{1}{c_2^n} \right) = 0,$$

Then

$$\lim_{n \to \infty} ||F^n x|| \, ||x_n|| = 0, \tag{2.9}$$

Then by equations (2.8) and (2.9), and the fact that  $F^n x_n = F^n S^n x = x$ , F satisfies  $\mathbb{D}$ -recurrent criterion and so it is  $\mathbb{D}$ -recurrent.

On the other hand, let  $x \in A$  then for any sequences  $(x_k) \in \mathcal{X}$ ,  $(n_k) \in \mathbb{N}$  and  $\lambda_k \in \mathbb{D} \setminus \{0\}$  such that  $x_k \to x$ , we have  $\lambda_k S^{n_k} x_k \to 0$ ; therefore by Theorem 2.2, S is not  $\mathbb{D}$ -recurrent. It follows that S is not recurrent too and so by [6, Proposition 2.6.] F is not recurrent.

We now give an example to show that the inverse of some  $\mathbb{D}$ -recurrent operators may be recurrent too.

**Example 2.4** Let  $F: \ell^2(\mathbb{Z}) \to \ell^2(\mathbb{Z})$  be the bilateral forward weighted shift with the weight sequence

$$w_n = \begin{cases} \frac{1}{3} & \text{if } n \ge 0, \\ 4 & \text{if } n < 0. \end{cases}$$

Then both F and  $F^{-1}$  are  $\mathbb{D}$ -recurrent.

**Proof:** We will verify  $\mathbb{D}$ -recurrent criterion. By using the same dense set and same process of Example 2.3, we have  $x_n = S^n x$  where  $S = F^{-1}$  is the bilateral backward weighted shift and weight sequence

$$\frac{1}{w_{n-1}} = \begin{cases} 3 & \text{if } n > 0, \\ \frac{1}{4} & \text{if } n \le 0. \end{cases}$$

Then  $\lim_{n\to\infty} ||S^n e_0|| = \lim_{n\to\infty} \prod_{i=1}^n (1/4) = \lim_{n\to\infty} \frac{1}{4^n} = 0$  then

$$||x_n|| = ||S^n x|| \to 0 \tag{2.10}$$

Again since

$$\lim_{n \to \infty} \|F^n e_0\| \|S^n e_0\| = \lim_{n \to \infty} \left( \prod_{k=1}^n \frac{1}{3} \right) \left( \prod_{k=1}^n \frac{1}{4} \right) = \lim_{n \to \infty} \left( \frac{1}{3^n} \right) \left( \frac{1}{4^n} \right) = 0,$$

Then

$$\lim_{n \to \infty} ||F^n x|| \, ||x_n|| = 0, \tag{2.11}$$

Then by equations (2.10) and (2.11), and the fact that  $F^n x_n = F^n S^n x = x$ , F satisfies  $\mathbb{D}$ -recurrent criterion and so it is  $\mathbb{D}$ -recurrent.

To show S is  $\mathbb{D}$ -recurrent, let  $x \in A$  and  $x_n = F^n x$ . Since  $\lim_{n \to \infty} ||F^n e_0|| = \lim_{n \to \infty} \prod_{i=1}^n (1/3) = \lim_{n \to \infty} \frac{1}{3^n} = 0$  then

$$||x_n|| = ||F^n x|| \to 0 \tag{2.12}$$

Again since

$$\lim_{n \to \infty} \|S^n e_0\| \|F^n e_0\| = \lim_{n \to \infty} \left( \prod_{k=1}^n \frac{1}{4} \right) \left( \prod_{k=1}^n \frac{1}{3} \right) = \lim_{n \to \infty} \left( \frac{1}{4^n} \right) \left( \frac{1}{3^n} \right) = 0,$$

Then

$$\lim_{n \to \infty} ||F^n x|| \, ||x_n|| = 0, \tag{2.13}$$

Then by equations (2.12) and (2.13), and the fact that  $S^n x_n = S^n F^n x = x$ , S satisfies  $\mathbb{D}$ -recurrent criterion and so it is  $\mathbb{D}$ -recurrent.

Recall that an operator  $T \in \mathcal{B}(\mathcal{X})$  is called power bounded if there exists a real number  $C \geq 1$  such that  $||T^n|| \leq C$  for all  $n \in \mathbb{N}$ . To show the relation between power boundedness and recurrence, we have the following results.

**Theorem 2.4** Let  $T \in \mathcal{B}(\mathcal{X})$  be a power bounded operator then the set of all  $\mathbb{D}$ -recurrent vectors for T is closed.

**Proof:** Let  $||T^n|| \leq C$  for all  $n \in \mathbb{N}$ , and let  $(x_n) \in \mathbb{D}R(T)$ , such that  $x_n \to x \in \mathcal{X}$ . Then for each  $n \in \mathbb{N}$ , there exist a sequence  $\lambda_r^{(n)} \in \mathbb{D}\setminus\{0\}$  and an increasing sequence  $(k_r^{(n)})$  of positive integers such that  $\lambda_r^{(n)}T^{k_r^{(n)}}x_n \to x_n$  as  $r \to \infty$ ; i.e,  $\lim_{r\to\infty} \left\|\lambda_r^{(n)}T^{k_r^{(n)}}x_n - x_n\right\| = 0$ . Now, we have

$$\begin{split} \left\| \lambda_r^{(n)} T^{k_r^{(n)}} x - x \right\| &= \left\| \lambda_r^{(n)} T^{k_r^{(n)}} x - \lambda_r^{(n)} T^{k_r^{(n)}} x_n + \lambda_r^{(n)} T^{k_r^{(n)}} x_n - x_n + x_n - x \right\| \\ &\leq \left\| \lambda_r^{(n)} T^{k_r^{(n)}} x - \lambda_r^{(n)} T^{k_r^{(n)}} x_n \right\| + \left\| \lambda_r^{(n)} T^{k_r^{(n)}} x_n - x_n \right\| + \left\| x_n - x \right\| \\ &\leq \left\| \lambda_r^{(n)} \right\| \left\| T^{k_r^{(n)}} \right\| \left\| x - x_n \right\| + \left\| \lambda_r^{(n)} T^{k_r^{(n)}} x_n - x_n \right\| + \left\| x_n - x \right\| \\ &\leq C \left\| x - x_n \right\| + \left\| \lambda_r^{(n)} T^{k_r^{(n)}} x_n - x_n \right\| + \left\| x_n - x \right\| \end{split}$$

as  $n, r \to 0$  since  $\left\|\lambda_r^{(n)}\right\| \le 1$ . It follows that  $x \in \mathbb{D}R(T)$  and so  $\mathbb{D}R(T)$  is closed.

Corollary 2.1 If T is  $\mathbb{D}$ -recurrent and power bounded then  $\mathbb{D}R(T) = \mathcal{X}$ .

**Proof:** By theorem 2.1 and proposition 2.4,  $\overline{\mathbb{D}R(T)} = \mathbb{D}R(T) = \mathcal{X}$ .

**Proposition 2.6** If  $||T|| \le 1$  and T is  $\mathbb{D}$ -recurrent then T is a surjective isometry.

**Proof:** Let  $x \in \mathcal{X}$ . By corollary, 2.1  $x \in \mathbb{D}R(T)$  so there exist a sequence  $\lambda_k \in \mathbb{D}\setminus\{0\}$  and an increasing sequence of positive integers  $(n_k)$  such that  $\lambda_k T^{n_k} x \to x$ . Now since  $||T|| \le 1$  and  $\lambda_k \in \mathbb{D}\setminus\{0\}$  then for every  $k \in \mathbb{N}$ 

$$\begin{aligned} \left\| \lambda_k T^k x \right\| \left\| \lambda_k \right\| \left\| T \right\| \left\| T^{k-1} x \right\| \\ &\leq \left\| T^{k-1} x \right\| \leq \dots \leq \left\| T x \right\| \end{aligned}$$

Now since  $\|\lambda_k T^{n_k} x\| \to \|x\|$  then  $\|\lambda_k T^k x\| \to \|x\|$ . Since  $\|\lambda_k T^k x\|$  is bounded above by  $\|Tx\|$  then  $\|Tx\| = \|x\|$  which implies that T is isometry. Now, to prove T is surjective, we have to prove that  $T^{-1}x$  exists. Since  $\lambda_k T^{n_k} x \to x$  then it is enough to prove that  $T^{-1}\lambda_k T^{n_k} x$  converges. We have

$$\begin{split} \left\| \lambda_{k} T^{n_{k}-1} x - \lambda_{r} T^{n_{r}-1} x \right\| &= \left\| T^{n_{k}-1} \left( \lambda_{k} I - \lambda_{r} T^{n_{r}-n_{k}} \right) x \right\| \\ &= \left\| T^{n_{k}-1} x \right\| \left\| \lambda_{k} I - \lambda_{r} T^{n_{r}-n_{k}} \right\| \\ &= \left\| x \right\| \left\| \lambda_{k} I - \lambda_{r} T^{n_{r}-n_{k}} \right\| \text{ since for all } n \in \mathbb{N}, \| T^{n} x \| = \| x \| \\ &= \left\| T^{n_{k}} x \right\| \left\| \lambda_{k} I - \lambda_{r} T^{n_{r}-n_{k}} \right\| \\ &= \left\| \lambda_{k} T^{n_{k}} x - \lambda_{k} T^{n_{r}} x \right\| \to 0 \text{ since } \lambda_{k} T^{n_{k}} x \text{ is convergent so it is cauchy} \end{split}$$

It follows that  $\lambda_k T^{n_k-1}x$  is also a cauchy sequence and so converges.

**Proposition 2.7** If T is power bounded and  $\mathbb{D}$ -recurrent then  $T^{-1}$  is also power bounded and  $\mathbb{D}$ -recurrent.

**Proof:** Let  $\|\cdot\|_1$  be an equivalent norm to  $\|\cdot\|$  defined by  $\|x\|_1 = \sup_{n\geq 1} \|T^n x\|$ . Then,  $\|T\|_1 \leq 1$  and T is  $\mathbb{D}$ -recurrent in  $(\mathcal{X}, \|\cdot\|_1)$ . By proposition 2.6, T is a surjective isometry (and so invertible) in  $(\mathcal{X}, \|\cdot\|_1)$ . Thus, T is invertible in  $(\mathcal{X}, \|\cdot\|_1)$  too. Now, there exists a positive real number c such that

$$||T^{-n}x|| \le C ||T^{-n}x||_1$$
  
 $\le C \sup_{n\ge 1} ||T^nT^{-n}x||$ 

It follows that  $||T^{-n}|| \leq C$  and so  $T^{-1}$  is power bounded. By [6, proposition 3.2],  $T^{-1}$  is  $\mathbb{D}$ -recurrent.  $\square$ 

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