(3s.) **v. 2025 (43)** : 1–6. ISSN-0037-8712 doi:10.5269/bspm.64644

Three results in linear dynamics*

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ABSTRACT: In this article, first we show that the Fréchet space $H(\mathbb{D})$ cannot support strongly supercyclic weighted composition operators. Then we compute the constant ϵ for weighted backward shifts on ℓ^p ($1 \le p < \infty$) and c_0 . This constant is used to find strongly hypercyclic scalar multiples of non-invertible strongly supercyclic Banach space operators. Finally, we give an affirmative answer to a recent open question concerning supercyclic vectors.

Key Words: Supercyclic, hypercyclic, strongly supercyclic, strongly hypercyclic.

Contents

1. Introduction

Suppose \mathcal{X} is an infinite-dimensional second countable Baire topological vector space (over \mathbb{C}) and \mathcal{S} is a multiplicative semigroup of maps on \mathcal{X} (the binary operation is the composition of two maps). For a vector $x \in \mathcal{X}$ we put

$$Sx = \{ f(x) : f \in S \}.$$

A semigroup S is said to be *hypercyclic* if there is a nonzero vector $x \in \mathcal{X}$ such that $\overline{Sx} = X$. In this case, x is called a *hypercyclic vector* for S. The set of all hypercyclic vectors for S is denoted by HC(S). If $HC(S) = \mathcal{X} \setminus \{0\}$, then S is called *hypertransitive*.

A semigroup S is called *supercyclic* if the semigroup

$$\mathbb{C}\mathcal{S} = \{bf : b \in \mathbb{C}, f \in \mathcal{S}\}\$$

is hypercyclic. A vector $x \in \mathcal{X}$ is called a *supercyclic vector* for \mathcal{S} whenever it is a hypercyclic vector for $\mathbb{C}\mathcal{S}$. The set of all supercyclic vectors for \mathcal{S} is denoted by $SC(\mathcal{S})$.

We say that S is topologically transitive if, for any pair of nonempty open sets $U, V \subseteq \mathcal{X}$, there is some $f \in S$ such that

$$f(U) \cap V \neq \emptyset$$
.

Finally, S is said to be *strongly topologically transitive* if, for any nonempty open subset U of X, we have that

$$\mathcal{X}\setminus\{0\}\subseteq\bigcup_{f\in\mathcal{S}}f(U).$$

It is clear that strong topological transitivity implies topological transitivity. One can easily verify that a semigroup S is hypertransitive if and only if

$$\mathcal{X}\backslash\{0\}\subseteq\bigcup_{f\in\mathcal{S}}f^{-1}(U)$$

^{*} Dedicated to Kit Chan and Karl Grosse-Erdmann. Submitted August 07, 2022. Published August 24, 2025 2010 Mathematics Subject Classification: 47A16, 46E10.

for every nonempty open subset U of \mathcal{X} . Now assume that all members of \mathcal{S} are bijections and put

$$S^{-1} = \{ f^{-1} : f \in \mathcal{S} \}.$$

Then the following fact is obvious:

Fact 1.1 Let S be a semigroup in which every map is a bijection. Then S is strongly topologically transitive if and only if S^{-1} is hypertransitive.

Recall that by $L(\mathcal{X})$ we mean the set of all continuous linear operators on \mathcal{X} . From now on, by an operator, we mean a continuous linear operator. The most particular case among all multiplicative operator semigroups is the semigroup generated by a single operator. A continuous linear operator T on \mathcal{X} is said to be hypercyclic (resp. supercyclic, topologically transitive) if the semigroup

$$\mathcal{S} = \{T^n : n \in \mathbb{N}_0\}$$

is hypercyclic (resp. supercyclic, topologically transitive). Here \mathbb{N}_0 is the set of all non-negative integers and $T^0 = I$, the identity operator on \mathcal{X} . We also say that x is a hypercyclic (resp. supercyclic) vector for T when it is a hypercyclic (resp. supercyclic) vector for \mathcal{S} , and we write HC(T) (resp. SC(T)) for $HC(\mathcal{S})$ (resp. $SC(\mathcal{S})$). Notice that (since \mathcal{X} has no isolated points) if x is a hypercyclic (resp. supercyclic) vector for T, then so is every $T^p x$ ($p \geq 1$) (resp. $bT^p x$ ($0 \neq b \in \mathbb{C}$, $p \geq 1$)), and this shows that HC(T) (resp. SC(T)) is dense in \mathcal{X} .

Two well-known books which are always advised to study hypercyclic and supercyclic operators are [5,9].

We say that T is strongly hypercyclic (resp. strongly supercyclic) if the semigroup $S = \{T^n : n \in \mathbb{N}_0\}$ (resp. $S = \{bT^n : b \in \mathbb{C}, n \in \mathbb{N}_0\}$) is strongly topologically transitive. In other words, T is strongly hypercyclic (resp. strongly supercyclic) if

$$\mathcal{X}\setminus\{0\}\subseteq\bigcup_{n\in\mathbb{N}_0}T^n(U) \text{ (resp. } \mathcal{X}\setminus\{0\}\subseteq\bigcup_{b\in\mathbb{C},n\in\mathbb{N}_0}bT^n(U)\text{)}$$

for any nonempty open subset U of \mathcal{X} .

It is clear that strong hypercyclicity (resp. strong supercyclicity) is stronger than hypercyclicity (resp. supercyclicity). In fact, this claim is verified by taking a look at [5, Theorem 1.2] and its following remark (resp. [5, Theorem 1.12]). The reader is referred to [2,3] for more information on strong topological transitivity, strong hypercyclicity, and strong supercyclicity.

In Section 2, we show that there is no strongly supercyclic weighted composition operator on the function space $H(\mathbb{D})$. In Section 3, we compute the constant ϵ for weighted backward shift operators on ℓ^p $(1 \le p < \infty)$ and c_0 . In the last section, we give an affirmative answer to a recent open question about supercyclic vectors.

2. Weighted composition operators on $H(\mathbb{D})$

It is proved in [3] that no automorphism invariant weighted Hardy space $H^2(\beta)$ can support strongly supercyclic weighted composition operators. Some particular cases of theses spaces are the classical Hardy space $H^2(\mathbb{D})$ and the Bergman and Dirichlet spaces.

In the following theorem, we show that the same assertion is true for the Fréchet space $H(\mathbb{D})$. Recall that the space $H(\mathbb{D})$ is the set of all analytic functions on \mathbb{D} , equipped with the compact-open topology. It is worth mentioning that $H(\mathbb{D})$ supports hypercyclic (and hence supercyclic) weighted composition operators [11].

Assume that $w, \phi \in H(\mathbb{D})$ with $\phi(\mathbb{D}) \subseteq \mathbb{D}$. The weighted composition operator $C_{w,\phi}$ on $H(\mathbb{D})$ is defined by

$$C_{w,\phi}(f) = w(f \circ \phi), \text{ i.e., } C_{w,\phi}(f)(z) = w(z)f(\phi(z)).$$

Meanwhile, it is easy to see that the nth $(n \geq 2)$ iterate of $C_{w,\phi}$ is defined by

$$C_{w,\phi}^n(f) = w(w \circ \phi) \cdots (w \circ \phi_{n-1})(f \circ \phi_n)$$

for every $f \in H(\mathbb{D})$, where ϕ_k is the kth iterate of ϕ .

Theorem 2.1 The Fréchet space $H(\mathbb{D})$ cannot support strongly supercyclic weighted composition operators.

Proof: To get a contradiction, suppose that $C_{w,\phi}$ is a strongly supercyclic operator on $H(\mathbb{D})$. Then we claim that $w(z) \neq 0$ for all $z \in \mathbb{D}$. To prove this claim, assume that g is the constant function g(z) = 1 $(z \in \mathbb{D})$. Then, by the definition of strong supercyclicity, we must have

$$g \in \bigcup_{b \in \mathbb{C}, n \in \mathbb{N}_0} bC_{w,\phi}^n(U) \tag{2.1}$$

for any nonempty open subset U of $H(\mathbb{D})$. Hence, there are some $f \in U$, $b \in \mathbb{C}$, and $n \in \mathbb{N}_0$ such that $g = bC_{w,\phi}^n f$. Without loss of generality, we can assume that $n \geq 1$. Indeed, if n = 0 then we can replace U by the open set $V = U \setminus \mathbb{C}g$ in (2.1). This shows that, for all $z \in \mathbb{D}$, we have that

$$1 = bw(z)w(\phi(z))\cdots w(\phi_{n-1}(z))f(\phi_n(z)),$$

which proves that $w(z) \neq 0$ for all $z \in \mathbb{D}$.

On the other hand, it is easy to see that ϕ cannot be a constant map. Indeed, if ϕ is constant, then we have

$$\{bC_{w,\phi}^n f: b \in \mathbb{C}, n \in \mathbb{N}_0\} \subseteq \mathbb{C}w$$

for any $f \in H(\mathbb{D})$. This contradicts our assumption that $C_{w,\phi}$ is strongly supercyclic.

Hence $C_{w,\phi}$ is injective and so it is a bijection by [3, Proposition 3.5]. Then, by [7, Theorem 2.2], ϕ is an automorphism of \mathbb{D} (the proof of that theorem only uses the assumption that $C_{w,\phi}$ is a bijection). Now it is easily seen that $C_{w,\phi}C_{(1/w)\circ\phi^{-1},\phi^{-1}}=I$, and so we must have $C_{w,\phi}^{-1}=C_{(1/w)\circ\phi^{-1},\phi^{-1}}$. Note that $C_{w,\phi}^{-1}$ is a linear map on $H(\mathbb{D})$ which may not necessarily be continuous. Let us put $\psi=(1/w)\circ\phi^{-1}$ and $\rho=\phi^{-1}$ to simply write $C_{w,\phi}^{-1}=C_{\psi,\rho}$.

Now, in view of the definition of strong supercyclicity, the semigroup

$$\mathcal{S} = \{bC_{w,\phi}^n : b \in \mathbb{C} \setminus \{0\}, n \in \mathbb{N}_0\}$$

is strongly topologically transitive (if S is strongly topologically transitive, then so is $S\setminus\{0\}$), and hence, the semigroup

$$\mathcal{S}^{-1} = \{bC^n_{\psi,\rho} : b \in \mathbb{C} \backslash \{0\}, n \in \mathbb{N}_0\}$$

is hypertransitive by Fact 1.1. So, every $f \in H(\mathbb{D})$ which is not identically zero is a hypercyclic vector for \mathcal{S}^{-1} .

We consider the two possible cases for the automorphism ρ :

(1) There is some $a \in \mathbb{D}$ such that $\rho(a) = a$. Then the function f(z) = z - a ($z \in \mathbb{D}$) is a hypercyclic vector for \mathcal{S}^{-1} , and hence, there are sequences $(b_k)_k$ in \mathbb{C} and $(n_k)_k$ in \mathbb{N}_0 such that $b_k C_{\psi,\rho}^{n_k} f \to g$ where g(z) = 1 ($z \in \mathbb{D}$). Thus, at z = a we must have

$$0 = b_k \psi(a) \psi(\rho(a)) \cdots \psi(\rho_{n_k-1}(a)) f(\rho_{n_k}(a)) \to 1$$

which is not true.

(2) The map ρ has no fixed point in \mathbb{D} . Then, by the well-known Denjoy-Wolff theorem, there is some $a \in \mathbb{T}$ (the unit circle $\partial \mathbb{D}$) such that $\rho_n \to a$ uniformly on compact subsets of \mathbb{D} . If we put $A = \{\rho_n(0) : n \in \mathbb{N}\}$, then there is some $f \in H(\mathbb{D})$ such that Z(f) = A [10, Theorem 15.11], where Z(f) is the set of all zeros of f. Now f is a hypercyclic vector for \mathcal{S}^{-1} and so, for the constant function g(z) = 1 ($z \in \mathbb{D}$), there exist sequences $(b_k)_k$ in \mathbb{C} and $(n_k)_k$ in \mathbb{N}_0 such that $b_k C_{b_k}^{n_k} f \to g$. Then we have that

$$0 = b_k \psi(0) \psi(\rho(0)) \cdots \psi(\rho_{n_k-1}(0)) f(\rho_{n_k}(0)) \to 1,$$

which is impossible.

Therefore, the assumption of strong supercyclicity of $C_{w,\phi}$ cannot be true and the proof is complete.

3. The constant ϵ for weighted backward shifts

Let \mathcal{X} be a Banach space and T be a bounded linear operator on \mathcal{X} . In [3], the constant $\epsilon(T)$ is defined by

$$\epsilon(T) = \inf\{\|y\| : y \in \mathcal{X} \setminus T(B)\},\$$

where B is the open unit ball of \mathcal{X} . Then it is proved that:

Theorem 3.1 [3, Theorem 4.2] Assume that $T \in B(\mathcal{X})$ is not invertible. Then T is surjective with dense generalized kernel if and only if cT is strongly hypercyclic for all $c \in \mathbb{C}$ with $|c| > 1/\epsilon(T)$.

Corollary 3.1 [3, Corollary 4.3] If T is not invertible then T is strongly supercyclic if and only if it is surjective and has dense generalized kernel.

Thus, the evaluation of $\epsilon(T)$ is important to find strongly hypercyclic scalar multiples of non-invertible strongly supercyclic Banach space operators.

Remark 3.1 It is worth mentioning that, while in view of Theorem 3.1 and Corollary 3.1, non-invertible strongly supercyclic and strongly hypercyclic Banach space operators are scalar multiples of one another, there are (non-invertible) supercyclic Banach space operators whose scalar multiples are never hypercyclic [5, Example 1.15].

In the next result, we compute the constant ϵ for weighted backward shifts on ℓ^p $(1 \le p < \infty)$ and c_0 . Recall that ℓ^p $(1 \le p < \infty)$ is the space of all complex sequences $(a_n)_{n\ge 0}$ satisfying $\sum_{n=0}^{\infty} |a_n|^p < \infty$. The norm of $x = (a_n)_n$ in ℓ^p is defined by $||x|| = (\sum_{n=0}^{\infty} |a_n|^p)^{1/p}$. The space c_0 is comprised of all sequences $(a_n)_{n\ge 0}$ in $\mathbb C$ such that $a_n \to 0$, and the norm of $x = (a_n)_n$ in c_0 is defined by $||x|| = \sup_{n>0} |a_n|$.

The weighted backward shift B_W on $\mathcal{X} = \ell^p$ $(1 \leq p < \infty)$ or c_0 is defined by $B_W(e_0) = 0$, and $B_W(e_n) = w_n e_{n-1}$ $(n = 1, 2, 3, \cdots)$, where $W = (w_n)_{n \geq 1}$ is a bounded sequence of positive numbers and $(e_n)_{n \geq 0}$ is the canonical basis of \mathcal{X} . One can readily verify that B_W is surjective if and only if $(w_n)_n$ is bounded-away from zero, i.e., $\inf_{n \geq 1} w_n > 0$.

Proposition 3.1 Suppose that B_W is a weighted backward shift on $\mathcal{X} = \ell^p$ $(1 \leq p < \infty)$ or c_0 with the weight sequence $(w_n)_n$. Then we have that $\epsilon(B_W) = \inf_{n \geq 1} w_n$.

Proof: Put $\inf_{n\geq 1} w_n = r$. If r=0 then there exists a strictly increasing sequence $(n_k)_k$ of positive integers such that $w_{n_k} \to 0$ as $k \to \infty$. For each $k \geq 1$, put $x_k = (0, 0, \dots, 1, 0, 0, \dots)$, where the number 1 has been set at the n_k -th position (remember that the position numbering starts with zero). Now, if we put $y_k = B_W x_k$ $(k \geq 1)$, then it is easy to see that $y_k \in \mathcal{X} \setminus B_W(B)$. In fact, if $z = (b_i)_{i\geq 0}$ and $y_k = B_W z$ for a fixed $k \geq 1$, then the equality $y_k = B_W x_k$ shows that $b_{n_k} = 1$, $b_i = 0$ for all $0 < i \neq n_k$, and b_0 could be any complex number, and hence, $||z|| \geq 1$. Now, since $||y_k|| = w_{n_k} \to 0$, we conclude that $\epsilon(B_W) = 0$.

Now assume that r > 0. Then B_W is surjective. Let $y \in \mathcal{X} \setminus B_W(B)$ be an arbitrary vector. Then there exists a vector $x = (a_0, a_1, \dots) \notin B$ such that $y = B_W x = (w_1 a_1, w_2 a_2, \dots)$. If we set $\hat{x} = (0, a_1, a_2, \dots)$ then we must have $\|\hat{x}\| \geq 1$, because otherwise, $y = B_W x = B_W \hat{x} \in B_W(B)$ which contradicts our assumption. Then $\|y\| \geq r \|\hat{x}\| \geq r$, and hence, $\epsilon(B_W) \geq r$.

To complete our proof, we show that $\epsilon(B_W) \leq r$. Let $\delta > 0$ be an arbitrary number. Then there is some $k \geq 1$ such that $w_k < r + \delta$. Choose the vector $x = (a_i)_{i \geq 0}$ for which $a_k = (r + \delta)w_k^{-1}$ and $a_i = 0$ for all $0 \leq i \neq k$, and let $y = B_W x$. Then $||x|| = a_k > 1$ and meanwhile, we claim that $y \notin B_W(B)$. Indeed, if $z = (b_i)_{i \geq 0}$ and $y = B_W z$ then we have that $b_k = a_k$, $b_i = 0$ for all $0 < i \neq k$, and b_0 could be any complex number. Thus, ||z|| > 1. Now, since $y \notin B_W(B)$ and $||y|| = r + \delta$, we have $\epsilon(B_W) \leq r + \delta$. Finally, since $\delta > 0$ was arbitrary, we conclude that $\epsilon(B_W) \leq r$.

4. A positive answer to an open question

We finish this paper by giving an affirmative answer to a recently asked open question concerning supercyclic vectors. It should be mentioned that this section has appeared as an arXiv preprint [1].

For a subset M of \mathcal{X} , by $\bigvee M$ we mean the closed linear span of M, i.e., $\bigvee M = \overline{\operatorname{span} M}$. It is clear that if x is a supercyclic vector for an operator T on \mathcal{X} , then

$$\bigvee\{T^nx:n\in\mathbb{N}_0\}=\mathcal{X}.$$

A natural question which may be asked here is that whether there is a strictly increasing sequence $(n_k)_k$ of positive integers such that

$$\bigvee \{T^{n_k}x: k \ge 1\} \ne \mathcal{X}.$$

In their recently published paper, Faghih-Ahmadi and Hedayatian [8] have proved the following interesting result. Recall that for a normed linear space \mathcal{X} , we often write $B(\mathcal{X})$ instead of $L(\mathcal{X})$.

Theorem 4.1 (Theorem 1 of [8]) Let \mathcal{H} be an infinite-dimensional Hilbert space. If x is a supercyclic vector for $T \in B(\mathcal{H})$, then there is a (strictly increasing) sequence $(n_k)_k$ of positive integers such that $\bigvee \{T^{n_k}x : k \geq 1\} \neq \mathcal{H}$.

Then they have asked whether the assertion is true for locally convex spaces or at least for Banach spaces [8, Question 1].

We show that the assertion is true for normed linear spaces. To prove our result, we use Lemma 4.2 which is an analogue of the following lemma.

Lemma 4.1 (Lemma 2.3 **of** [4]) Let \mathcal{A} be a dense subset of an infinite-dimensional Banach space \mathcal{X} and e be a fixed element with ||e|| > 1. Then, for every finite-dimensional subspace $Y \subset \mathcal{X}$ with dist(e, Y) > 1, for every $\epsilon > 0$ and $y \in Y$, there is an $a \in \mathcal{A}$ such that $||y-a|| < \epsilon$ and $dist(e, span\{Y, a\}) > 1$.

The proof of the above lemma shows that it can also be stated for infinite-dimensional normed linear spaces. In fact, the lemma is proved by using Lemma 2.2 of [4] whose proof uses the fact that the weak closure of the unit sphere is the unit ball. Thus, we can give the following modified version.

Lemma 4.2 Let \mathcal{A} be a dense subset of an infinite-dimensional normed linear space \mathcal{X} and $e \in \mathcal{X}$ be a fixed element with ||e|| > 1. Then, for every finite-dimensional subspace Y of \mathcal{X} with dist(e, Y) > 1, there is an $a \in \mathcal{A}$ such that dist $(e, span\{Y, a\}) > 1$.

Now we are ready to answer Question 1 of [8] for normed linear spaces.

Theorem 4.2 Let \mathcal{X} be an infinite-dimensional normed linear space. If x is a supercyclic vector for $T \in B(\mathcal{X})$ then there is a strictly increasing sequence $(n_k)_k$ of positive integers such that $\bigvee \{T^{n_k}x : k \geq 1\} \neq \mathcal{X}$.

Proof: It is clear that every nonzero scalar multiple of x is also a supercyclic vector for T. On the other hand, since x and Tx are linearly independent vectors, we have that $dist(x, span\{Tx\}) > 0$. Thus, without loss of generality, we can assume that ||x|| > 1 and $dist(x, span\{Tx\}) > 1$. Now, if we put

$$\mathcal{A} = \{cT^n x : c \in \mathbb{C}, n > 1\}, Y = \operatorname{span}\{Tx\},$$

and e = x, then \mathcal{A} is dense in \mathcal{X} because

$$\mathcal{A} = \{cT^n x : c \in \mathbb{C}, n \ge 0\} \setminus \{cT^n x : c \in \mathbb{C}, n = 0, 1\}$$

and $\{cT^nx:c\in\mathbb{C},n=0,1\}$ is nowhere dense in \mathcal{X} , and hence, in view of Lemma 4.2, there is some $a=cT^{n_2}x\in\mathcal{A}$ such that

$$dist(x, span\{Tx, T^{n_2}x\}) = dist(x, span\{Y, a\}) > 1.$$

Now let

$$\mathcal{A}_2 = \{cT^n x : c \in \mathbb{C}, n > n_2\}, Y_2 = \text{span}\{Tx, T^{n_2}x\}.$$

Then, by Lemma 4.2, there is some $a_2 = c_2 T^{n_3} x \in \mathcal{A}_2$ such that

$$dist(x, span\{Tx, T^{n_2}x, T^{n_3}x\}) = dist(x, span\{Y_2, a_2\}) > 1.$$

By continuing this construction, assume that for some $k \geq 2$, the dense set \mathcal{A}_k and the finite-dimensional subspace Y_k have been presented and (by using Lemma 4.2) we have found an element $a_k = c_k T^{n_{k+1}} x \in \mathcal{A}_k$ such that

$$dist(x, span\{Tx, T^{n_2}x, \dots, T^{n_{k+1}}x\}) = dist(x, span\{Y_k, a_k\}) > 1.$$

Then we put

$$\mathcal{A}_{k+1} = \{cT^n x : c \in \mathbb{C}, n > n_{k+1}\}, Y_{k+1} = \operatorname{span}\{Tx, T^{n_2}x, \cdots, T^{n_{k+1}}x\}.$$

Again, by Lemma 4.2, there is some $a_{k+1} = c_{k+1} T^{n_{k+2}} x \in \mathcal{A}_{k+1}$ such that

$$dist(x, span\{Tx, T^{n_2}x, \dots, T^{n_{k+2}}x\}) = dist(x, span\{Y_{k+1}, a_{k+1}\}) > 1.$$

This inductive procedure gives a strictly increasing sequence $(n_k)_k$ (with $n_1 = 1$) and it is easily seen that

$$\operatorname{dist}(x,\bigvee\{T^{n_k}x:k\geq 1\})\geq 1.$$

Indeed, let $M = \operatorname{span}\{T^{n_k}x : k \geq 1\}$ and suppose, to get a contradiction, that $\operatorname{dist}(x,\overline{M}) < 1$. Then there is some $y \in M$ such that $\operatorname{dist}(x,y) < 1$. But it is clear that $y \in \operatorname{span}\{Y_k,a_k\}$ for some $k \geq 1$ and we have already seen that $\operatorname{dist}(x,\operatorname{span}\{Y_k,a_k\}) > 1$. Therefore, the assumption $\operatorname{dist}(x,\overline{M}) < 1$ cannot be true and this shows that $x \notin \bigvee \{T^{n_k}x : k \geq 1\}$.

We need to mention that the authors in [6] have also answered the above-mentioned open question independently. The interested readers are invited to investigate Question 1 of [8] for operators on locally convex spaces.

Acknowledgments

The author is very grateful to the reviewers for their hints and suggestions which improved the quality of this paper.

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