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Bounds for the Minimum Degree Eigenvalues of Graphs

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ABSTRACT: In this article, we obtain several upper bounds for the minimum degree eigenvalues of graph G.

Key Words: Minimum degree matrix, Minimum degree eigenvalues of graph.

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1. Introduction

Let G be a simple graph and let its vertex set be $V(G) = \{v_1, v_2, \dots, v_n\}$. The square matrix A(G) of order n whose (i, j)— entry equal to unity if the vertices v_i and v_j are adjacent and is equal to zero otherwise is called adjacency matrix of graph G. The eigenvalues $\lambda_1, \lambda_2, \dots, \lambda_n$ of A(G), assumed in non increasing order are the eigenvalues of the graph G.

In 1978 Ivan Gutman [3] introduced Energy of graph G as

$$E(G) = \sum_{i=1}^{n} |\lambda_i|.$$

In [1,8], author introduced the minimum degree matrix m(G) associated with a graph G and studied its spectrum. Let G be a simple graph with n vertices v_1, v_2, \ldots, v_n and let d_i be the degree of v_i , $i = 1, 2, 3, \ldots, n$. Define

$$d_{ij} = \left\{ \begin{array}{ll} \min\{d_i, d_j\}, & \text{if } v_i \text{ and } v_j \text{ are adjacent,} \\ 0, & \text{otherwise.} \end{array} \right.$$

Then the $n \times n$ matrix $m(G) = (d_{ij})$ is called the minimum degree matrix of G. The characteristic polynomial of the minimum degree matrix m(G) is defined by

$$\phi(G; \mu) = \det(\mu I - m(G))$$

= $\mu^n + c_1 \mu^{n-1} + c_2 \mu^{n-2} + \dots + c_{n-1} \mu + c_n$,

where I is the unit matrix of order n. The minimum degree eigenvalues $\mu_1, \mu_2, \dots, \mu_n$ of the graph G are the eigenvalues of its minimum degree matrix m(G). The minimum degree energy of a graph G is defined as

$$E_m(G) = \sum_{i=1}^n |\mu_i|.$$

Since m(G) is real symmetric matrix with zero trace, these minimum degree eigenvalues are all real with sum equal to zero.

The largest eigenvalue λ_1 of the graph G is often called the Spectral radius of G. In literature there are several upper bounds for the spectral radius λ_1 (see [2,4,5,6,7,9])

In this paper we give upper bounds for minimum degree eigenvalues of G.

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2. Bounds for Minimum degree eigenvalues

We now give the explicit expression for the co-efficient c_i of $\mu^{n-i}(i=0,1,2)$ in the characteristic polynomial of the minimum degree matrix m(G). It is clear that $c_0 = 1$ and $c_1 = \text{trace of } m(G) = 0$. We have,

$$c_2 = \sum_{i \le j \le k \le n} \left| \begin{array}{cc} 0 & d_{kj} \\ d_{jk} & 0 \end{array} \right|.$$

But

$$\left| \begin{array}{cc} 0 & d_{kj} \\ d_{jk} & 0 \end{array} \right| = \left\{ \begin{array}{cc} -(\min\{d_j, d_k\})^2, & \text{if } v_j \text{ and } v_k \text{ are adjacent,} \\ 0, & \text{otherwise.} \end{array} \right.$$

Thus,

$$c_2 = -\sum_{i=1}^{n} (a_i + b_i)d_i^2$$

where, a_i = the number of vertices in the neighborhood of v_i , whose degrees are greater than d_i and b_i = the number of vertices $v_j(j > i)$ in the neighborhood of v_i , whose degrees are equal to d_i . Note that c_2 and c'_2 are negative and so $-c_2 = |c_2|$, $-c'_2 = |c'_2|$.

Theorem 2.1 If $\mu_1, \mu_2, \ldots, \mu_n$ are the minimum degree eigenvalues of G, then

$$\sum_{i=1}^{n} \mu_i^2 = 2 |c_2|.$$

Proof: We have

$$\sum_{i=1}^{n} \mu_i^2 = \text{trace of } m(G)^2 = \sum_{i=1}^{n} \left(\sum_{k=1}^{n} d_{ik} d_{ki} \right)$$

$$= 2 \sum_{i=1}^{n} (a_i + b_i) d_i^2$$

$$= -2c_2$$

$$= 2 |c_2|.$$

Theorem 2.2 Let G and H be two graphs with n vertices. If $\mu_1, \mu_2, \ldots, \mu_n$ are the minimum degree eigenvalues of G and $\mu'_1, \mu'_2, \ldots, \mu'_n$ are the minimum degree eigenvalues of H, then

$$\sum_{i=1}^{n} \mu_i \mu_i' \le 2\sqrt{|c_2| |c_2'|}.$$

Proof: By Cauchy-Schwarz inequality, we have

$$\left(\sum_{i=1}^n \mu_i \mu_i'\right)^2 \le \left(\sum_{i=1}^n \mu_i^2\right) \left(\sum_{i=1}^n \mu_i'^2\right).$$

On using Theorem 2.1 in the above inequality, we obtain

$$\left(\sum_{i=1}^{n} \mu_i \mu_i'\right)^2 \le 4 |c_2| |c_2'|.$$

Hence,

$$\sum_{i=1}^{n} \mu_i \mu_i' \le 2\sqrt{|c_2| |c_2'|}.$$

Theorem 2.3 If G is a graph with n vertices and $\mu_1 \ge \mu_2 \ge \cdots \ge \mu_n$ are the minimum degree eigenvalues of G, then

$$\mu_1 \le \frac{1}{p-1} \left\{ \sqrt{2|c_2|p(p-1)} + \sum_{i=1}^n \mu_{n-p+i} \right\}, \qquad 2 \le p \le n.$$

Proof: Let $\mu_1, \mu_2, \dots, \mu_{n-p+1}, \mu_{n-p+2}, \dots, \mu_n$, $2 \le p \le n$ be the minimum degree eigenvalues of G. Let $H = K_p \bigcup \overline{K_{n-p}}$. The minimum degree eigenvalues of H are $(p-1)^2$, 0(n-p) times, and -(p-1)(p-1) times.

Now on employing Theorem 2.2, we obtain

$$\mu_1(p-1)^2 + \mu_2(0) + \dots + \mu_{n-p+1}(0) - \mu_{n-p+2}(p-1) - \dots - \mu_n(p-1) \le 2\sqrt{|c_2| \frac{p(p-1)^3}{2}}$$

and so

$$\mu_1(p-1)^2 - (p-1)\sum_{i=2}^p \mu_{n-p+i} \le \sqrt{2|c_2|p(p-1)^3}.$$

Thus,

$$\mu_1 \le \frac{1}{p-1} \left\{ \sqrt{2|c_2|p(p-1)} + \sum_{i=2}^p \mu_{n-p+i} \right\}.$$
(2.1)

This completes the proof of the theorem.

Remark 2.1 If we put p = n in (2.1), we get

$$\mu_1 \le \frac{1}{n-1} \left\{ \sqrt{2|c_2| n(n-1)} + \sum_{i=2}^n \mu_i \right\}.$$

Since

$$\sum_{i=1}^{n} \mu_i = 0,$$

we have

$$\mu_1 \leq \frac{1}{n-1} \left\{ \sqrt{2 \, |c_2| \, n(n-1)} - \mu_1 \right\}$$

and hence,

$$\mu_1 \le \frac{1}{n} \sqrt{2|c_2|n(n-1)}.$$

Remark 2.2 Now putting p = 2 in (2.1), we get

$$\mu_1 - \mu_n \le \sqrt{4|c_2|}. (2.2)$$

Corollary 2.1 If G is r-regular with n vertices, then

$$\mu_n \ge r^2 - 2\sqrt{nr^3}.$$

Proof: Let G be an r-regular graph with n vertices. It is known that $|c_2| = \frac{nr^3}{2}$ and $\mu_1 = r^2$. Therefore from (2.2), we get

$$\mu_n \ge r^2 - \sqrt{2nr^3}.$$

Theorem 2.4 Let G be a graph with n vertices and $\mu_1 \geq \mu_2 \geq \cdots \geq \mu_n$ be the minimum degree eigenvalues, then

$$\sum_{i=1}^{k} \mu_{i} \le \sqrt{\frac{2|c_{2}|k(n-k)}{n}}, \qquad 1 \le k \le n.$$

Proof: Let G be a graph with minimum degree eigenvalues $\mu_1, \mu_2, \ldots, \mu_k, \mu_{k+1}, \ldots, \mu_n$. Let H be a graph with n vertices and k components each is complete graph K_p i.e., $H = \bigcup_k K_p$. The minimum degree eigenvalues of H are $(p-1)^2$ (k times) and -(p-1)[(p-1)k times] and the number of vertices and edges of H are n = pk and $\frac{kp(p-1)}{2}$ respectively. Therefore from theorem 2.2, we obtain

$$(p-1)\left\{(p-1)\mu_1+(p-1)\mu_2+\cdots+(p-1)\mu_k-[\mu_{k+1}+\cdots+\mu_n]\right\}\leq 2\sqrt{|c_2|\frac{kp(p-1)^3}{2}}.$$

i.e.,
$$p\sum_{i=1}^{k} \mu_i - \sum_{i=1}^{n} \mu_i \le \sqrt{2|c_2|kp(p-1)}.$$

Since

$$\sum_{i=1}^{n} \mu_i = 0 \text{ and } n = pk,$$

we deduce that,

$$\sum_{i=1}^{k} \mu_i \le \sqrt{\frac{2|c_2|k(n-k)}{n}}.$$
(2.3)

Corollary 2.2 If G is r-regular graph with n vertices, then

$$\mu_2 \le r\sqrt{2r(n-2)} - r^2.$$

Proof: Putting k=2 in equation (2.3), we see that

$$\mu_1 + \mu_2 \le 2\sqrt{\frac{|c_2|(n-2)}{n}}.$$

Since G is r-regular, we have $|c_2| = \frac{nr^3}{2}$ and $\mu_1 = r^2$. Thus,

$$\mu_2 \le r\sqrt{2r(n-2)} - r^2.$$

Theorem 2.5 Let G be a graph with n vertices and $\mu_1 \geq \mu_2 \geq \cdots \geq \mu_n$ be the minimum degree eigenvalues, then

$$\sum_{i=1}^{k} \left[\mu_i - \mu_{n-k+i} \right] \le 2\sqrt{|c_2| \, k}, \qquad 1 \le k \le \left[\frac{n}{2} \right].$$

Proof: Let G be a graph with minimum degree eigenvalues $\mu_1 \geq \mu_2 \geq \cdots \geq \mu_k \geq \mu_{k+1} \geq \cdots \geq \mu_{n-k} \geq \mu_{n-k+1} \geq \cdots \geq \mu_n$. Let H be a graph with n vertices and k components each is complete bipartite graph $K_{p,q}$ i.e., $H = \bigcup K_{p,q}$.

The minimum degree eigenvalues of H are $p\sqrt{pq}$ [k times], 0[(n-2k) times] and $-p\sqrt{pq}$ [k times] and the number of vertices and edges of H are n=k(p+q) and kpq respectively. On employing Theorem 2.2, we get

$$p\sqrt{pq}\sum_{i=1}^{k}\mu_i - p\sqrt{pq}\sum_{i=1}^{k}\mu_{n-k+i} \le 2\sqrt{|c_2|(kp^3q)}.$$

Thus,

$$\sum_{i=1}^{k} \left[\mu_i - \mu_{n-k+i} \right] \le 2\sqrt{|c_2| \, k}. \tag{2.4}$$

Corollary 2.3 If G is r-regular bipartite graph with $n \geq 6$ vertices, then

$$\mu_2 \le r\sqrt{nr} - r^2$$
.

Proof: Putting k = 2 in (2.4), we get

$$\mu_1 + \mu_2 - \mu_{n-1} - \mu_n \le 2\sqrt{2|c_2|}.$$

Since G is bipartite, we have

$$\mu_1 = -\mu_n, \quad \mu_2 = -\mu_{n-1}$$

and

$$\mu_1 + \mu_2 \le \sqrt{2|c_2|}.$$

Since.

$$|c_2| = \frac{nr^3}{2}$$
 and $\mu_1 = r^2$,

we have,

$$\mu_2 \le r\sqrt{nr} - r^2$$
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